#### Special Session on Swarm Intelligence

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Motivation Methods Experiments and results

#### Article to Present

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# A Swarm Intelligence Framework for Reconstructing Gene Networks: Searching for Biologically Plausible Architectures

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#### 2 Methods

- Gene Network Representation
- Model training using PSO
- Network reconstruction using ACO





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### Description

- We use a hybrid ACO/PSO system in order to reverse engineer the topology of a gene regulatory network from temporal data that capture the network's dynamical behavior.
  - RNN for modeling the dynamical behaviour of gene regulatory systems.
  - ACO for generating biologically plausible candidate architectures.
  - PSO for training the RNN models.

# Difficulties

- The analysis of gene expression data to identify the underlying relationships has important difficulties as:
  - Information contained in a gene expression data set is polluted by considerable amounts of biological and experimental **noise**.
  - Number of genes whose expression levels are measured in the data set is, typically, two to three orders of magnitude greater than the number of observations or time points ("curse of dimensionality").

### Proposal

- A novel solution construction process for artificial ants:
  - It is used for the generation of candidate solutions.
  - It consists of **extending** a **stochastic graph** generation model proposed by Bolloba's et al. adding stigmergic **pheromone-based** information.



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### Structure of a Gene Network

- Can be represented as a directed graph: G = (V, E), where each vertex v<sub>i</sub> ∈ V represents a gene and each edge e<sub>ij</sub> ∈ E correspondes to the regulatory influence of genes v<sub>j</sub> (regulator) to v<sub>i</sub> (target).
- Equivalently, network can be represented as an **adjacency** matrix  $M = [m_{ij}]_{N \times N}$ , where N is the fixed number of nodes and  $m_{ij}$  is a binary value that determines whether a directed edge exists from nodes  $v_i$  to  $v_i$ .



Figure: Left - Directed Graph. Right - Undirected Graph.

#### Recurrent Neural Network

- Dynamics of the system are expresed by RNN formalism.
- The expression level x<sub>i</sub> of the *i*th gene, varies temporally as:

$$x_i(t+\Delta t) = \frac{\Delta t}{c_i} f\left(\sum_{j=1}^N w_{ij} x_j(t) + b_i\right) + \left(1 - \frac{\Delta t}{c_i}\right) x_i(t), \quad (1)$$

- *b<sub>i</sub>* is the bias term (basal expression level of the *i*th gene).
- c<sub>i</sub> is a time constant (scaling factor).
- *w<sub>ij</sub>* is the weight associated to *i*th and *j*th genes.
- *f* is a sigmoidal function (logistic function).

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### Problem decomposition strategy

- Quality of a candidate network architecture is evaluated by estimating the parameters of the corresponding RNN model that minimize the error between the actual and simulated time series.
- We apply problem **decomposition strategy** to the global problem of estimating the full set N(N+2) RNN parameters, splitting to N independent subproblems, each associated with estimating the parameters of an individual target gene.

#### Problem decomposition strategy

- For the *i*th subproblem, the parameters under training include only the weights W<sub>i</sub> = {w<sub>ij</sub> | m<sub>ij</sub> = 1} that correspond to the incoming connections of gene *i*, the bias term b<sub>i</sub> and the time constant c<sub>i</sub>.
- Objective: minimize the prediction error  $\varepsilon_i$  according to:

$$\epsilon_i = rac{1}{T} \sum_{t=1}^{T} (x_i(t) - \hat{x}_i(t))^2,$$
 (4)

 where x<sub>i</sub>(t) and x̂<sub>i</sub>(t) are the actual and simulated expression levels of gene i at time point t, respectively, and T is the number of available time points.

### Problem decomposition strategy

- Quality of the candidate architecture under consideration is determined by an error vector  $\mathscr{E} = [\mathcal{E}_i]_{1 \times N}$ , where  $\mathcal{E}_i$  represents the minimum achieved prediction error for the temporal expression pattern of gene *i*.
- PSO is applied separately to each independent subproblem *i* for estimating the corresponding model parameters.

# Particle Swarm Optimization

- Particle position vectors encode the RNN parameters associated with the current (*i*th) subproblem under consideration.
- Particles interact by communicating their best position  $\overrightarrow{\rho}_n$  to other particles within a neighborhood to determine the neighborhood's best position  $\overrightarrow{\rho}_b$ .
- Each particle randomly selects K = 3 particles to share its  $\overrightarrow{p}_n$ .

### Updating Particle Interaction

$$\vec{v}_{n}(t+1) = \omega \vec{v}_{n}(t) + \vec{U}(0,\phi_{1}) \otimes [\vec{p}_{n}(t) - \vec{x}_{n}(t)] + \vec{U}(0,\phi_{2}) \otimes [\vec{p}_{b}(t) - \vec{x}_{n}(t)]$$
(5)

$$\vec{x}_n(t+1) = \vec{x}_n(t) + \vec{v}_n(t+1),$$
 (6)

- where *w* is the inertia weight parameter that controls the scope of the search (balance between exploration and exploitation).
- $\phi_1$  and  $\phi_2$  are the particle's acceleration coefficients that control the magnitude of stochastic attraction toward  $\overrightarrow{p}_n$ and  $\overrightarrow{p}_b$  ( $\phi_1 = \phi_2 = 1.496$ ).
- Each vector *U*(0, φ<sub>i</sub>) contains random numbers drawn from a uniform distribution in [0, φ<sub>i</sub>].

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### Ant Colony Optimization

- ACO is a metaheuristic optimization algorithm.
- Two sources of information:
  - **Stigmergic information**: represented by pheromone matrix  $T = [\tau_{ij}]_{N \times N}$ , where each  $\tau_{ij}$  is associated with the corresponding directed edge  $e_{ij}$  in the network architecture.
  - Heuristic information: each solution component is associated with a heuristic value  $\eta_{ij}$  representing the desirability of adding edge  $e_{ij}$  to the solution under construction.

### Stochastic Generation of Candidate Solution

- Graph-theoretic approaches generate topologies that exhibit the scale-free property.
- A parametric, generative process is the directed scale-free (DSF) model, based on growth and degree-based preferential attachment that yields directed graphs with tunable degree distributions.
- We **propose** an **extension** to the DSF (eDSF) model that augments the heuristic degree-based preferential principle of the original model, with a stigmergic pheromone-based preferential principle.

# Extended DSF (eDSF)

- eDSF describes a stochastic process where a graph (network) grows by adding a single directed edge (regulatory relationship) at each discrete time step.
- At each such step, three possible operations are possible:
  - an edge is added from a new node *u* to an existing node *w*.
  - an edge is added from an existing node *u* to an existing node *w*.
  - a new edge is added from an existing node *u* to a new node *w*.

# Extended DSF (eDSF)

- A node u is considered to be existing (connected) if it has a degree k (u) = k<sub>in</sub>(u) + k<sub>out</sub>(u) > 0. Otherwise, it is a new (unconnected) node.
- ACO is introduced by T matrix, where  $\tau_{ij} \in \Re^+$  is the pheromone value associated with edge  $e_{ij}$ .
- If for all edges, τ<sub>ij</sub> = c, with c ∈ ℜ<sup>+</sup>, the selection of any node is equiprobable with respect to the stigmergic information. So, eDSF model is equivalent to the original DSF model.

Image: A matrix and a matrix

### Example of Operations I

• Node *u* is selected according to the pheromone values corresponding to its outgoing edges, with probability:

$$\Pi(u=u_j)=rac{\sum_i au_{ij}}{\sum_\kappa\sum_i au_{i\kappa}},$$

where 
$$i \in \mathcal{N}_{\text{old}}(t)$$
 and  $j, \kappa \in \mathcal{N}_{\text{new}}(t)$ .

• Node w is selected according to  $k_{in} + \delta_{in}$  and the pheromone value corresponding to its incoming edge from node  $u_j$ , with probability:

$$\Pi(w = w_i \mid u = u_j) = \frac{[k_{\text{in}}(w_i) + \delta_{\text{in}}][\tau_{ij}]}{\sum_{\kappa} [k_{\text{in}}(w_{\kappa}) + \delta_{\text{in}}][\tau_{i\kappa}]},$$
  
where  $i, \kappa \in \mathcal{N}_{\text{old}}(t)$  and  $j \in \mathcal{N}_{\text{now}}(t)$ .

• Where  $\delta_{in}$  is nonnegative, real number.

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# ACO algorithm

#### Algorithm 4 ACO algorithm

Initialize pheromone matrix  $T = [\tau_{ij}]_{N \times N}$ Initialize global adjacency matrix  $M = [0]_{N \times N}$ Initialize global error vector  $\widetilde{\mathcal{E}} = [\infty]_{1 \times N}$ for each ACO step do Initialize local adjacency matrix  $\widehat{M} = [0]_{N \times N}$ Initialize local error vector  $\widehat{\mathcal{E}} = [\infty]_{1 \times N}$ for each artificial ant k do Generate candidate architecture with adjacency matrix  $M_k$ Obtain error vector  $\mathcal{E}_k$  for  $M_k$  (parameter estimation) Update  $\widehat{M}$ ,  $\widehat{\mathcal{E}}$  with  $M_k$ ,  $\mathcal{E}_k$  (see Algorithm 1) end for Update pheromone matrix *T* with  $\widehat{M}$ ,  $\widehat{\mathcal{E}}$  (see Algorithm 2) Update  $\widetilde{M}, \widetilde{\mathcal{E}}$  with  $\widehat{M}, \widehat{\mathcal{E}}$  (see Algorithm 1) Update pheromone matrix T with  $\widetilde{M}$ ,  $\widetilde{\mathcal{E}}$  (see Algorithm 2) Perform pheromone evaporation (see Algorithm 3) end for **return** solution  $(M, \mathcal{E})$ 

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# ACO algorithm

| <b>Algorithm 1</b> Update an adjacency matrix $M = [m_{ij}]$ and an error vector $\mathcal{E} = [\epsilon_i]$ with an adjacency matrix $M' = [m'_{ij}]$ and an error vector $\mathcal{E}' = [\epsilon'_i]$ |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| for each target <i>i</i> do                                                                                                                                                                                |
| if $\epsilon'_i < \epsilon_i$ then                                                                                                                                                                         |
| for each regulator $j$ do                                                                                                                                                                                  |
| $m_{ij} \leftarrow m'_{ij}$                                                                                                                                                                                |
| end for                                                                                                                                                                                                    |
| end if                                                                                                                                                                                                     |
| end for                                                                                                                                                                                                    |

**Algorithm 2** Update the pheromone matrix  $T = [\tau_{ij}]$  using an adjacency matrix  $M = [m_{ij}]$  and an error vector  $\mathcal{E} = [\epsilon_i]$ .

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for each target i do
for each regulator j do
if m_{ij} = 1 then
\tau_{ij} \leftarrow \tau_{ij} + \log \epsilon_i / (\log \epsilon_i - 1)
end if
end for
end for
```

**Algorithm 3** Perform evaporation of the pheromone matrix  $T = [\tau_{ij}]$  using the evaporation rate  $\rho$ 

```
for each target i do
for each regulator j do
\tau_{ij} \leftarrow (1-\rho)\tau_{ij}
end for
end for
```

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### Three Experiments

- Experiment 1: Reconstructing a small ANN with artificial data.
- Experiment 2: Reconstructing a real-world Network with artificial data.
- Experiment 3: Reconstructing a real-world Network with real-world data.

### Description

- ACO framework, incorporating the eDSF model of generating candidate architectures.
  - L = 10 ACO trials, population size of 5 artificial ants, pheromone evaporation rate set to  $\rho = 0.1$
- Create a RNN from the candidate architectures inferred by ACO.
- Train 100 RNN instances that corresponded to the inferred topology  $\tilde{M}$  using PSO.
  - Different time points (50, 21, 21).

#### Exp 3 - Inference using Real-World Data

- ACO/PSO framework, incorporating the eDSF model of generating candidate architectures, is applied to SOS response system of *E. coli.*
- It is a transcriptional network consisting of proteins that are involved in DNA repair activities.
- DNA repair is regulated by the interplay between two proteins: LexA and RecA.
- ACO/PSO framework settings used were the same as in the artificial data experiments.

#### Inferred network topologies



TABLE 3 Results from the SOS Experiments

| Data set | TP | FP | TPR  | FPR  | PPV  |
|----------|----|----|------|------|------|
| 1        | 3  | 10 | 0.38 | 0.21 | 0.23 |
| 2        | 8  | 5  | 0.89 | 0.10 | 0.62 |
| 3        | 4  | 9  | 0.50 | 0.19 | 0.31 |
| 4        | 0  | 9  | 0.00 | 0.19 | 0.00 |

Metric values for the inferred topologies  $\check{M}$  with a strict threshold value  $\sigma = 0.9$  for the four SOS data sets.

Fig. 9. The predicted topology  $\dot{M}$  of the SOS network, resulting from 10 independent ACO runs, with a strict threshold set at  $\sigma = 0.9$ . Correctly inferred edges (true positives) have been drawn with solid lines and falsely inferred edges (false positives) with dashed lines.

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 Best prediction was achieved using the second time series, with an inferred topology consisting of 13 edges, 8 of which were TP and 5 FP. Motivation Methods Experiments and results

#### Actual and Predicted Dynamics using PSO



Fig. 10. Actual and predicted dynamics for the SOS experiment, using the second data set. The prediction MSE is  $1.2 \times 10^{-2}$ . The actual dynamics consist of the second time series in the data set of Ronen et al. [68]. The predicted dynamics were generated using a trained RNM model that corresponded to the inferred network topology  $\dot{M}$ , with a strict threshold set at  $\sigma = 0.9$ . Actual expression levels have been plotted using solid lines and cross marks, while predicted expression levels share dotted lines and x marks.

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#### **Results** Comparison

| <b>V</b>                | Predictions by |      |       |                   |       |       |       |         |
|-------------------------|----------------|------|-------|-------------------|-------|-------|-------|---------|
| Known interaction       | [12]           | [73] | [69]† | [25] <sup>‡</sup> | [70]† | [71]† | [72]† | ACO/PSO |
| $lexA \rightarrow lexA$ | yes            | yes  | yes   | no                | yes   | yes   | yes   | yes     |
| $lexA \rightarrow recA$ | yes            | yes  | no    | yes               | yes   | yes   | yes   | yes     |
| $recA \rightarrow lexA$ | yes            | yes  | yes   | no                | yes   | yes   | yes   | no      |
| $lexA \rightarrow uvrA$ | yes            | yes  | yes   | yes               | no    | yes   | yes   | yes     |
| $lexA \rightarrow uvrD$ | no             | no   | yes   | yes               | yes   | yes   | yes   | yes     |
| $lexA \rightarrow uvrY$ | no             | no   | -     | no                | -     | -     | -     | yes     |
| $lexA \rightarrow umuD$ | no             | yes  | yes   | yes               | yes   | yes   | yes   | yes     |
| $lexA \rightarrow ruvA$ | no             | no   | -     | no                | -     | -     | -     | yes     |
| $lexA \rightarrow polB$ | no             | no   | yes   | yes               | yes   | yes   | yes   | yes     |
| Spurious edges (FP)     | 5              | 10   | 6     | 2                 | 15    | 16    | 11    | 5       |
| Precision (PPV)         | 0.44           | 0.33 | 0.50  | 0.71              | 0.29  | 0.30  | 0.39  | 0.62    |

#### TABLE 4 Comparison of Predictions for the SOS Data Set

† The profiles of genes uvrY and ruvA were not included in these experiments. ‡ In addition to this prediction, Xu et al. [25] also report a "less conservative" prediction which includes all nine true relations but more false positives (FP=7), leading to a lower precision value (PPV=0.56).

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