

# Approximation algorithms for the generalized graph partitioning problems with restrictions

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## Abstract

*We propose the following graph partitioning problem: given an edge-weighted graph  $G = (V, E, w)$  and disjoint subsets  $U_p$  of  $V$  where  $|U_p| \leq k$  for  $1 \leq p \leq r$ , find a minimum weighted set of edges  $E' \subseteq E$  such that its removal separates the graph  $G$  into  $k$  parts and each part contains at most one vertex from each  $U_p$  for  $1 \leq p \leq r$ . We present three approximation algorithms and show that the approximation algorithms produce good approximations.*

**Key words:** approximation algorithms, graph partitioning, NP-complete.

**AMS subject classifications.** 05C, 68R

## 1 Introduction

Distributed memory architectures are becoming increasingly popular as a result of promised scalability at reduced costs and the availability of high performance microprocessors. This architecture requires that data associated with a given computation be partitioned and distributed to the local storage of each individual processor. How this is done will affect the program perfor-

mance. However, programming of distributed memory multiprocessors is difficult and error-prone due to the lack of a single uniform global address space. The research by Li and Chen [8], Banerjee, Eigenmann, Nicolau and Padua [1], Gupta and Banerjee [3], Knoble, Lukas and Steele [7], and Ramanujam and Sadayappan [11] has concentrated on automating this process. In [8], Li and Chen have modeled this process to the so called (primary) index domain alignment problem, and proved that it is NP-complete for the class of graphs with alignment dimension 2. In [4], [5], and [9], the authors generalized the index domain problem. In this paper we further generalize it to a more general graph partitioning problem.

### The generalized minimum $k$ -multiway cut problem with restrictions:

*Let  $G = (V, E, w)$  be an edge-weighted graph of order  $n$ , where  $w : E \rightarrow \{0, 1, 2, \dots\}$ . Let  $U_p$ ,  $1 \leq p \leq r$ , be disjoint subsets of  $V$  with at most  $k$  specified vertices (terminals) and at least one contains  $k$  vertices. Find a minimum weighted set of edges  $E' \subseteq E$  such that the removal of  $E'$  separates the graph  $G$  into  $k$  parts and each part contains at most one vertex from each  $U_p$ , i.e., we need to find a partition  $S = \{V_1, V_2, \dots, V_k\}$  of  $V$  into  $k$  parts such that  $V_i$  contains at most one vertex of  $U_p$  and  $w(S^C) = \sum_{i=1}^k \sum_{e \in E \setminus G[V_i]} w(e)$  is the minimum, where  $G[V_i]$  is the induced graph on vertex set  $V_i$  for*

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$1 \leq i \leq k$ .

When  $V(G) = \cup_{p=1}^r U_p$  and each  $U_p$  is an independent vertex set for  $1 \leq p \leq r$ , this problem is reduced to the index domain alignment problem. In this case, the problem is shown to be NP-complete and the related local minimum is also hard to find (i.e., it is PLS-complete, see [4] for details).

When  $r = 1$ , this problem is the  $k$ -Multiway Cut problem [2]. This problem is MAX SNP-hard even for fixed  $k \geq 3$  (see [2]), and it has applications in parallel and distributed computing as well as in chip design [12]. In [2], Dahlhaus, Papadimitriou, Seymour, and Yannakakis gave a simple algorithm guaranteed to produce solutions within a factor of  $2(1 - \frac{1}{k})$ . Later, in [6], the performance ratio was improved to  $1.3438 - \epsilon_k$ .

Analogously, we have the following generalized maximum  $k$ -multiway cut problem with restrictions: given an edge-weighted graph  $G = (V, E, w)$  with disjoint subsets  $U_p$ ,  $1 \leq p \leq r$  of  $V$  of sizes at most  $k$ , find a vertex partition  $S = \{V_1, V_2, \dots, V_k\}$  of  $V$  such that each  $V_i$  contains at most one vertex from each  $U_p$ , and  $\sum_{i=1}^k \sum_{e \in E \setminus G[V_i]} w(e)$  is the maximum. This problem generalizes the Max  $k$ -Cut problem which has been proved to be MAX SNP-complete (see [10]).

## 2 Three approximation algorithms

Let  $a = \sum_{e \in G[U_p], 1 \leq p \leq r} w(e)$ . The **Local Search Algorithm** and the **Derandomized Algorithm** and the analysis presented in [9] can be modified to apply to the above generalized graph partition problem.

**Theorem 1** *For the generalized minimum (maximum)  $k$ -multiway cut problem with restrictions, both the Local Search Algorithm and the Derandomized Algorithm produce feasible solutions  $S$  with weight at most (at least)  $w(S^C) \leq (1 - \frac{1}{k})w(G) + \frac{1}{k}a$ .*

In the following, we present another approximate algorithm.

### A Mixed Algorithm

Step 1: run any well-known  $k$  partitioning algorithm (for example, the merge algorithm proposed in [5]) on the part  $\cup_{p=1}^r U_p$  (view each  $U_p$  as an independent set). Let  $\{V_1, V_2, \dots, V_k\}$  be the partition.

Step 2: construct graph  $G'$  from  $G$  by shrinking each set  $V_i$  into a vertex  $v_i$ , replacing multiple edges by

a single edge with weight the sum of the weights of the multiple edges. Then apply any  $k$ -Multiway Cut Algorithm to  $G'$  with  $k$  specified vertices  $\{v_1, v_2, \dots, v_k\}$ .

Modifying the analysis in [5], we can show that a feasible solution produced by the Mixed Algorithm is as good as those produced by the Local Search and Derandomized Algorithms.

## 3 A probabilistic model

In this section, we consider a special case:  $V(G) = \cup_{p=1}^r U_p$ . We further note that for any feasible partition  $S$ , all edges in  $\cup_{p=1}^r E(U_p)$  are crossing edges and hence their weight are always counted in. Therefore, we may assume that  $\cup_{p=1}^r E(U_p) = \emptyset$  and  $a = \sum_{e \in G[U_p], 1 \leq p \leq r} w(e) = 0$ . Thus,  $G$  is an  $r$ -partite graph. Moreover, by assigning 0-weight probability to some edges, we may assume that  $G$  is a complete  $r$ -partite graph.

It is natural now if we consider the following probabilistic model: Given an edge weighted complete  $r$ -partite graph  $T_{kr,r}$  with  $k$  vertices in each of  $U_1, U_2, \dots, U_r$ , let edge weights be chosen independently from  $\{0, 1, 2, \dots, \Delta\}$  and  $Pr\{X = i\} = p_i$ , for  $i = 0, \dots, \Delta$ , where  $X$  is the weight between any two adjacent vertices and  $\sum_{i=0}^{\Delta} p_i = 1$ .

For any feasible partition  $S = \{V_1, V_2, \dots, V_k\}$ , let  $w(S) = \sum_{e \in G[V_i], 1 \leq i \leq k} w(e)$ . We note that each induced graph on  $V_i$  is a  $K_r$ .

**Theorem 2** *Let  $T_{kr,k}$  be an edge weighted complete random graph with partition  $U_1, \dots, U_r$ . Let  $S$  be any feasible partition of the generalized minimum  $k$ -multiway cut problem with restrictions. Then*

$$\frac{w(T_{kr,r})}{k} \leq w(S) \leq \frac{w(T_{kr,r})}{k} + O(k^{\frac{3}{4}} r^{\frac{3}{2}})$$

with probability  $1 - o(1)$  when  $kr \rightarrow \infty$ .

**Proof:** Recall that the partition  $S$  induces  $k$  disjoint  $K_r$ 's, say  $K_r^1, \dots, K_r^k$ . Let  $\xi (= w(S))$  be the total weight of edges in these  $k$  cliques  $K_r$ 's.

It is obvious that

$$\xi = \sum_{s=1}^k \left( \sum_{i,j \in V(K_r^s)} X_{ij} \right)$$

where  $X_{ij}$  represents the weight between adjacent vertices  $i$  and  $j$ . Since

$$P(\{X_{ij} = w\}) = p_w,$$

for  $w = 0, \dots, \Delta$ , it follows that the expectation of  $\xi$  is

$$E(\xi) = \sum_{s=1}^k \left( \sum_{i,j \in V(K_r^s)} E(X_{ij}) \right) = k \frac{r(r-1)}{2} E(X),$$

where  $E(X) = \sum_{w=1}^{\Delta} w p_w$ .

Also, the variance of  $\xi$  is

$$V(\xi) = \sum_{s=1}^k \left( \sum_{i,j \in V(K_r^s)} V(X_{ij}) \right) = k \frac{r(r-1)}{2} V(X),$$

where  $V(X) = \sum_{w=1}^{\Delta} w^2 p_w - (E(X))^2$ .

By Chebyshev's inequality, we know that

$$P(|\xi - E(\xi)| \geq \sqrt{k^{1/2} r V(\xi)}) \leq \frac{V(\xi)}{(\sqrt{k^{1/2} r V(\xi)})^2}.$$

Notice that  $\frac{V(\xi)}{(\sqrt{k^{1/2} r V(\xi)})^2} = \frac{1}{k^{1/2} r}$ . Therefore,

$$E(\xi) - \sqrt{k^{1/2} r V(\xi)} \leq \xi \leq E(\xi) + \sqrt{k^{1/2} r V(\xi)},$$

with probability  $1 - o(1)$  as  $kr$  approaches infinity. Since the expectation of  $w(T_{kr,r})$  is

$$E(w(T_{kr,r})) = k \frac{kr(r-1)}{2} E(X) = kE(\xi),$$

and the variance of  $w(T_{kr,r})$  is

$$V(w(T_{kr,r})) = k \frac{kr(r-1)}{2} V(X) = kV(\xi),$$

it follows that

$$\xi \geq \frac{1}{k} E(w(T_{kr,r})) - \sqrt{k^{-1/2} r V(w(T_{kr,r}))} \quad (1)$$

$$\xi \leq \frac{1}{k} E(w(T_{kr,r})) + \sqrt{k^{-1/2} r V(w(T_{kr,r}))} \quad (2)$$

with probability  $1 - o(1)$  for any arbitrary feasible solution as  $kr$  is big enough.

Applying Chebyshev's inequality again for  $w(T_{kr,r})$ , we obtain that

$$\begin{aligned} P(|w(T_{kr,r}) - E(w(T_{kr,r}))| \geq \sqrt{k^{1/2} r V(w(T_{kr,r}))}) \\ \leq \frac{V(w(T_{kr,r}))}{(\sqrt{k^{1/2} r V(w(T_{kr,r}))})^2}. \end{aligned}$$

Therefore,

$$w(T_{kr,r}) \geq E(w(T_{kr,r})) - \sqrt{k^{1/2} r V(w(T_{kr,r}))} \quad (3)$$

and

$$w(T_{kr,r}) \leq E(w(T_{kr,r})) + \sqrt{k^{1/2} r V(w(T_{kr,r}))} \quad (4)$$

with probability  $1 - o(1)$  as  $kr$  is big enough. From (1)-(4), we have

$$\begin{aligned} w(S) &\leq \frac{E(w(T_{kr,r})) - \sqrt{k^{1/2} r V(w(T_{kr,r}))}}{k} \\ &\quad + \frac{\sqrt{k^{1/2} r V(w(T_{kr,r}))}}{k} \\ &\quad + \sqrt{k^{-1/2} r V(w(T_{kr,r}))} \\ &\leq \frac{w(T_{kr,r})}{k} + \frac{\sqrt{k^{1/2} r V(w(T_{kr,r}))}}{k} \\ &\quad + \sqrt{k^{-1/2} r V(w(T_{kr,r}))} \\ &\leq \frac{w(T_{kr,r})}{k} + O(k^{3/4} r^{3/2}) \end{aligned}$$

with probability  $1 - o(1)$ . This completes the proof of Theorem 2.

**Corollary 1** *Let  $G = T_{kr,r}$  be an edge weighted complete  $r$ -partite random graph defined above. Let  $S$  be an optimum partition of the generalized minimum  $k$ -multiway cut problem with restrictions. Then with higher probability,*

$$\lim_{kr \rightarrow \infty} \frac{k w(S)}{w(T_{kr,r})} = 1.$$

**Proof:** Note that  $w(T_{kr,r})$  is equal to  $k^2 r^2 E(X)$ , the corollary follows from Theorem 2.

We have similar results for the generalized maximum  $k$ -multiway cut problem with restrictions.

**Theorem 3** Let  $T_{kr,k}$  be an edge weighted complete random graph with partition  $U_1, \dots, U_r$ . Let  $S$  be any optimum partition of the generalized maximum  $k$ -multiway cut problem with restrictions. Then

$$\frac{w(T_{kr,r})}{k} - O(k^{\frac{3}{4}}r^{\frac{3}{2}}) \leq w(S) \leq \frac{w(T_{kr,r})}{k}$$

with probability  $1 - o(1)$  when  $kr \rightarrow \infty$ , and thus

$$\lim_{kr \rightarrow \infty} \frac{kw(S)}{w(T_{kr,r})} = 1.$$

**Remark:** Corollary 1 and Theorem 3 suggest that if a weighted graph  $G$  with specified sets  $U_1, U_2, \dots, U_r$  and  $V(G) = \cup_{p=1}^r U_p$  is an instance of the generalized minimum (maximum)  $k$ -multiway cut problem with restrictions, then the optimum solution of the problem is asymptotically  $(1 - \frac{1}{k})w(G) + \frac{1}{k}a$  if  $|V(G)|$  is large.

## 4 Conclusion

In this paper, we proposed a most general graph partition problem with restrictions which generalizes some well-known graph partition problems. We also proposed some approximation algorithms for the problem. By introducing a probabilistic model, we showed that solutions produced by the local search, the derandomized, and the mixed algorithms are good approximations.

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