

# Singlet fission for solar energy conversion

## A theoretical insight

**ikerbasque**  
Basque Foundation for Science



David Casanova

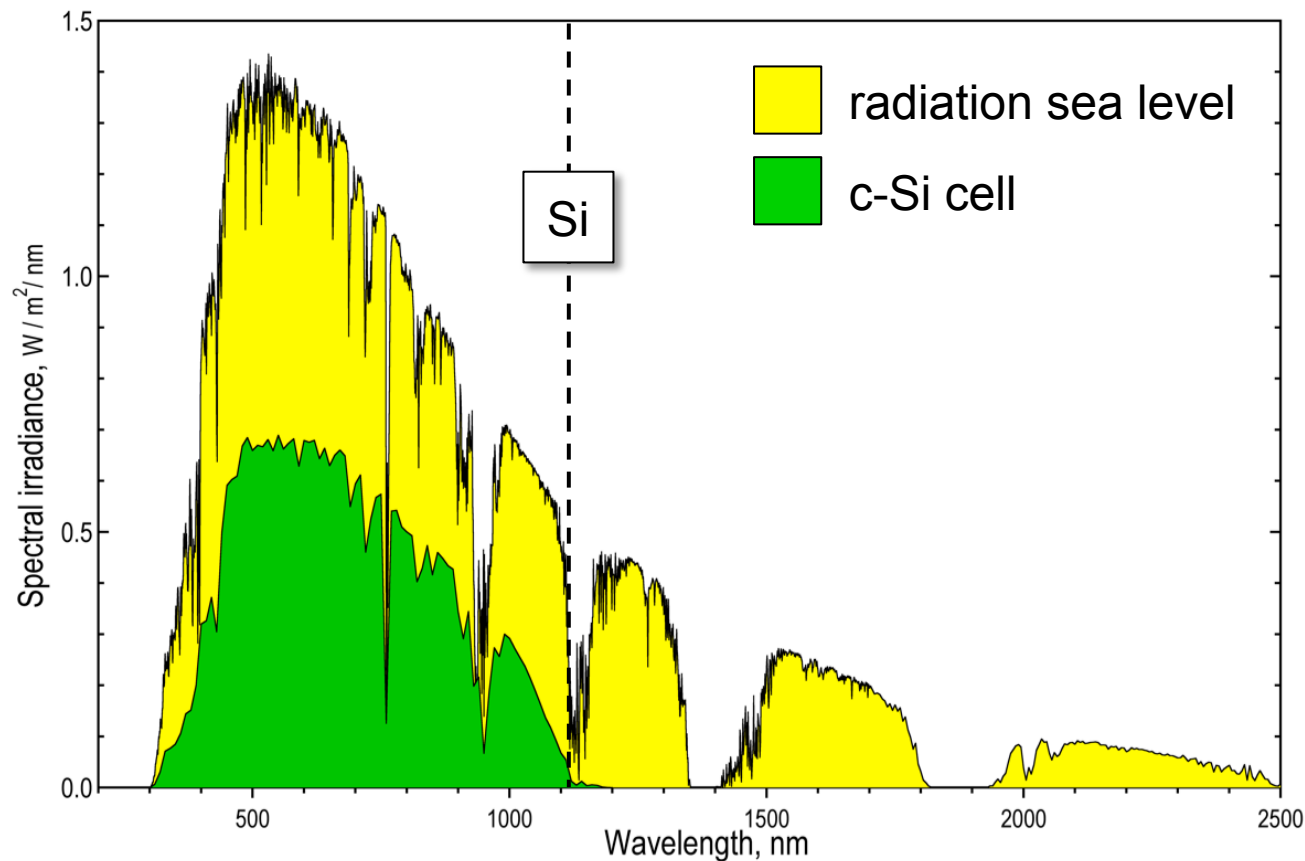
Quantum Days in Bilbao

July 16, 2014



# Harvesting Solar Energy

- Solar energy 1h = 1 year human consumption
- We use ~ 0.07% Earth radiation
- ~0.1% world's energy demand

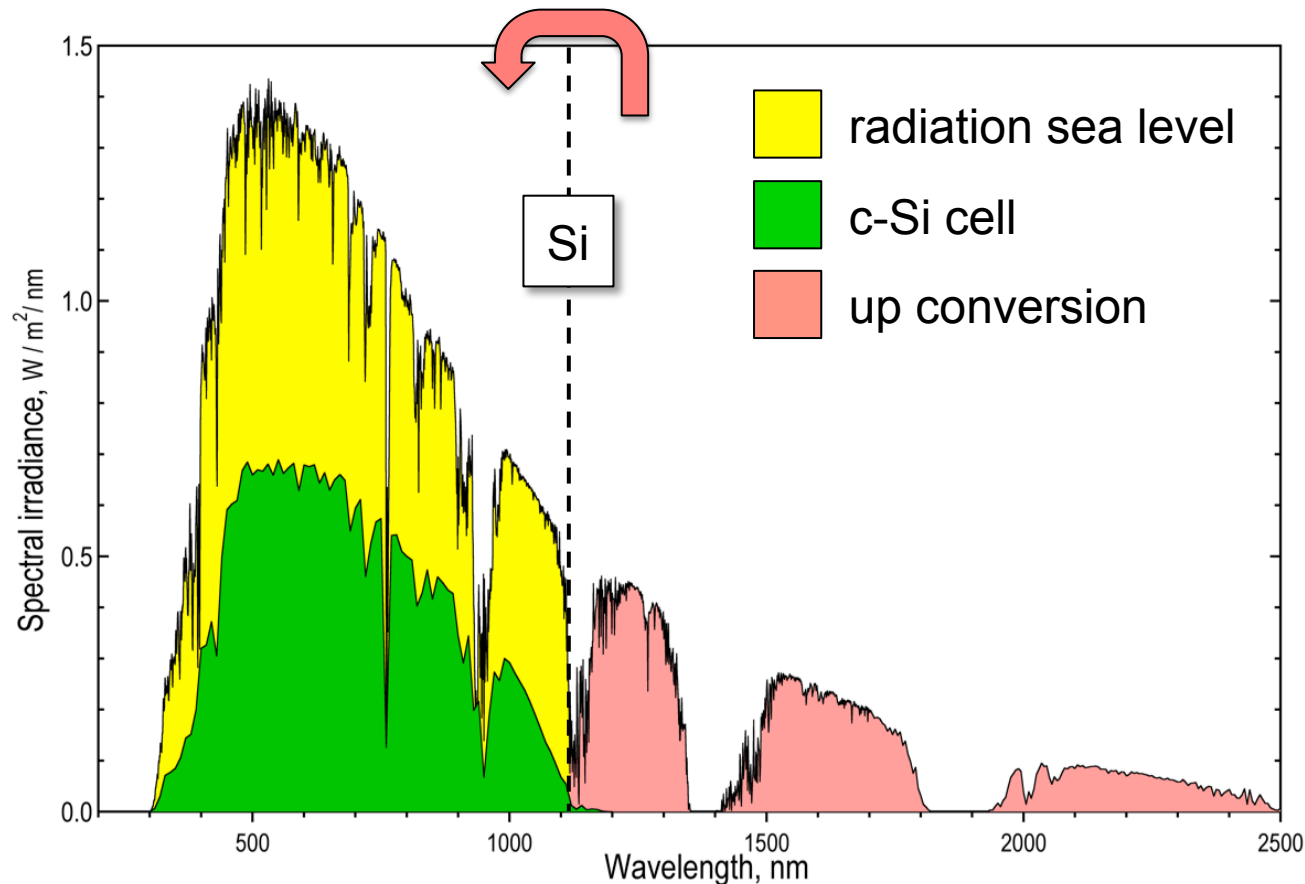


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## Up conversion

lanthanides ion pairs



# Harvesting Solar Energy

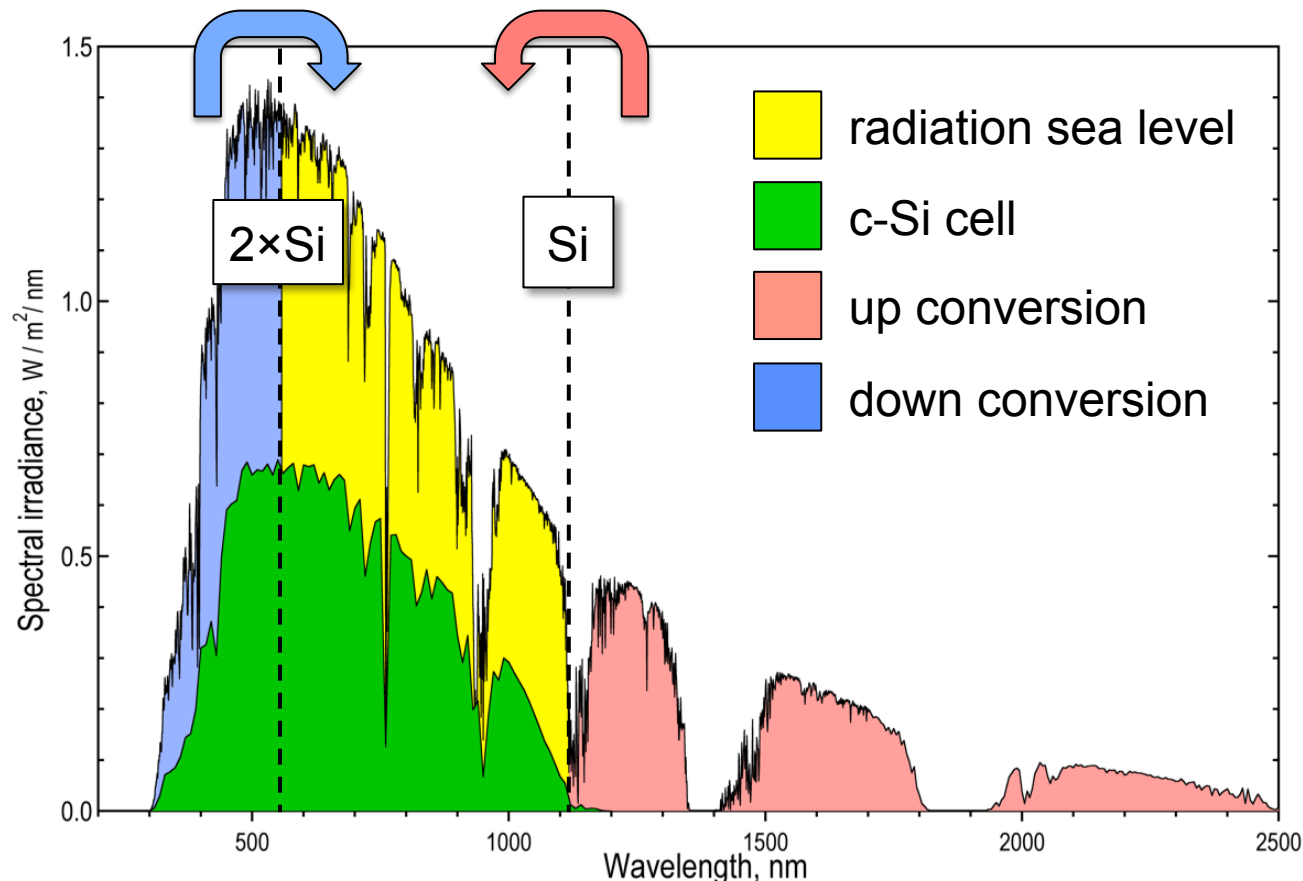
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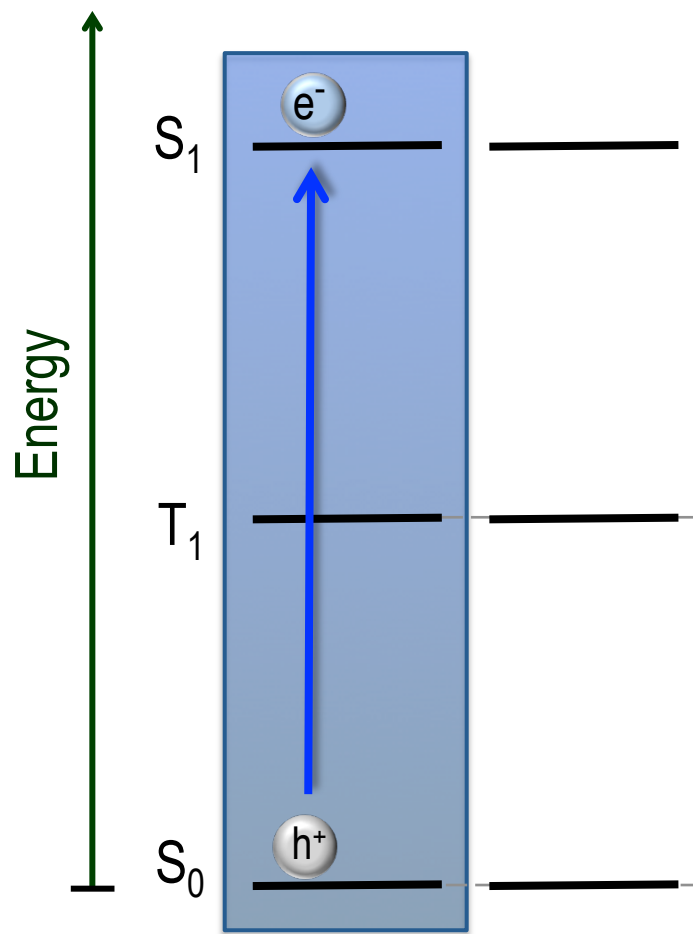
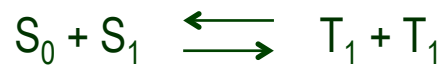
## Down conversion

- Quantum cutting  
rare earth glasses
- Multi Exciton Generation  
inorganic semiconductors
- Singlet Fission  
organic materials

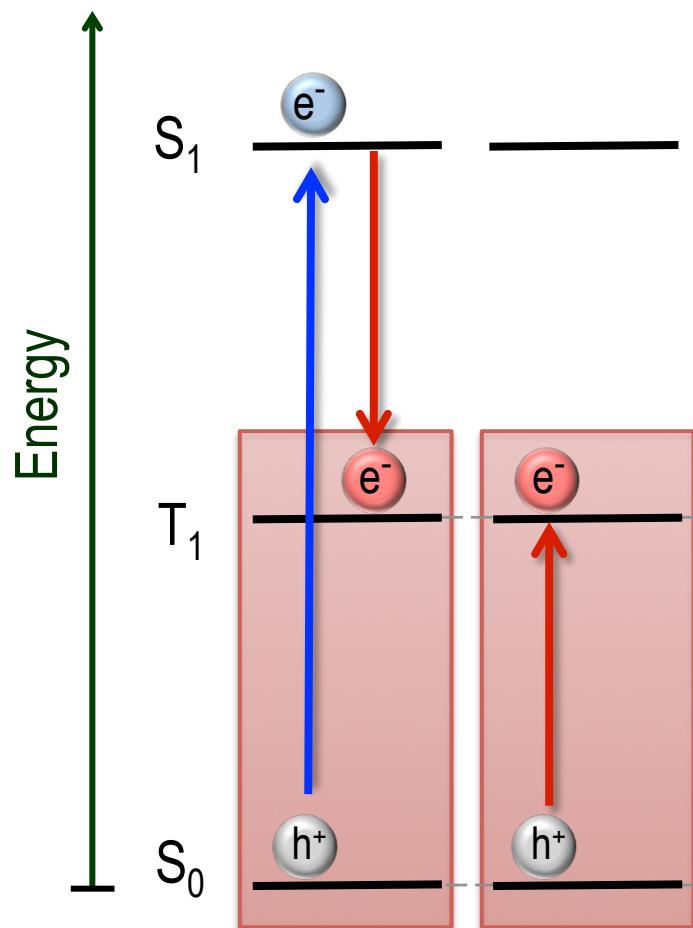
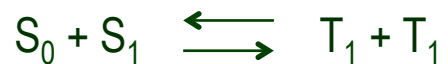




# Singlet Fission: definition



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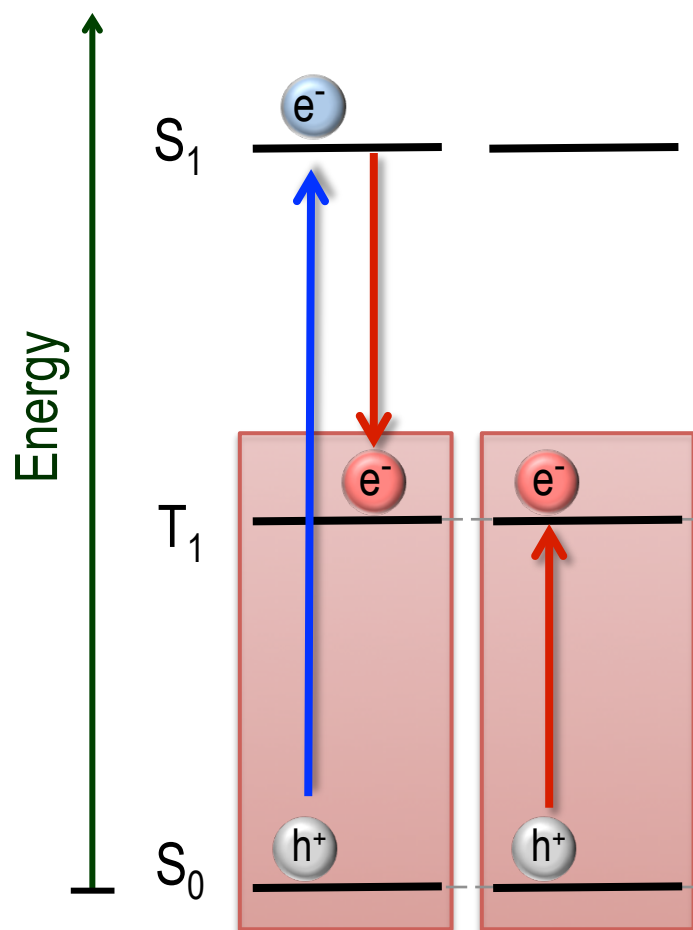


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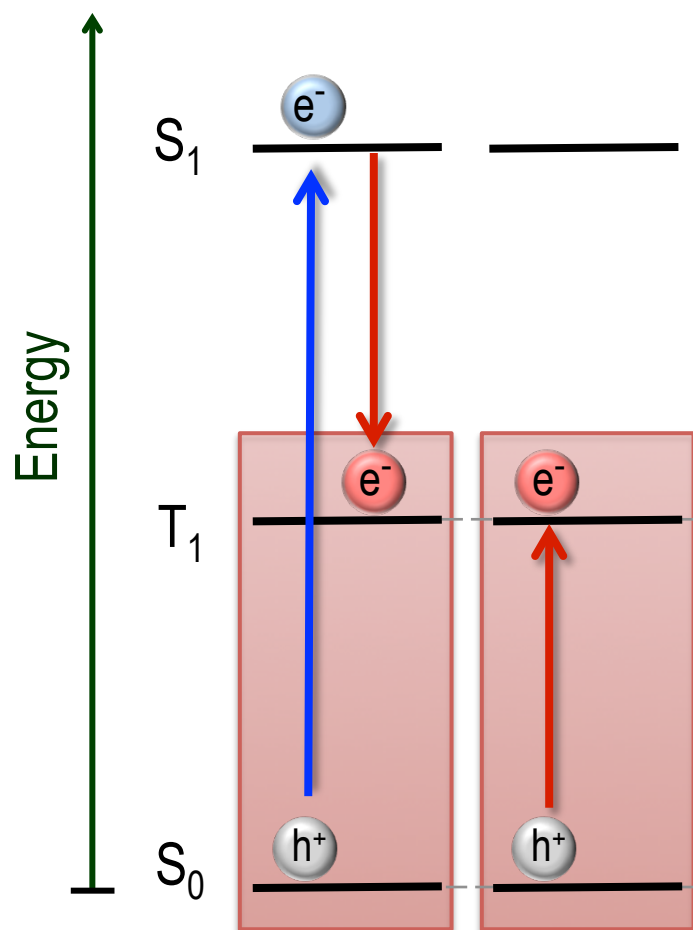


## Properties

- organic compounds
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- spin allowed
- very fast  $\leq$  ps



# Singlet Fission: definition



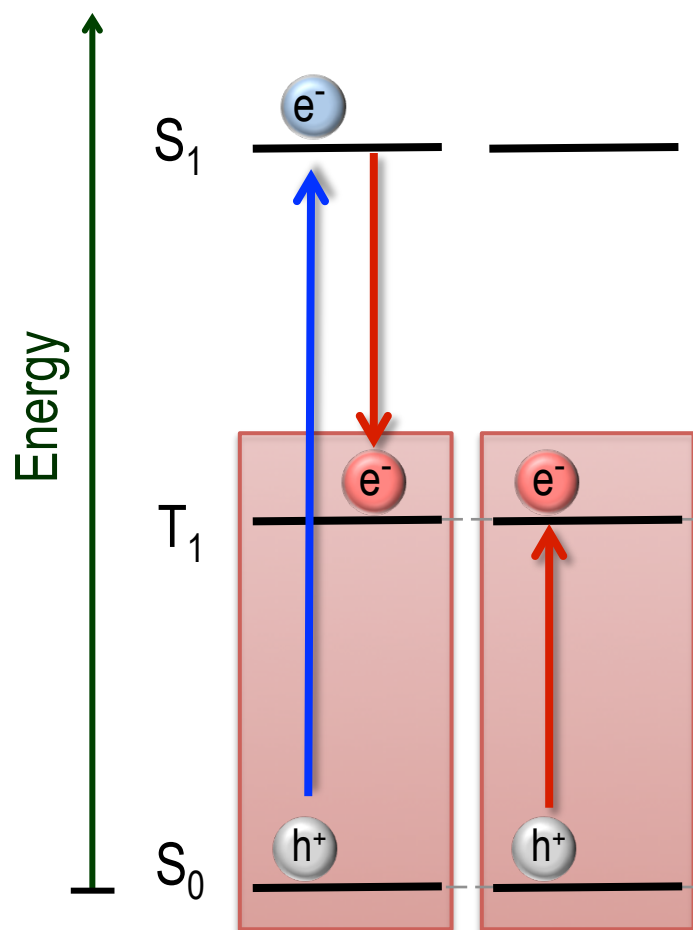
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## Requirements

- $E(S_1) \geq 2E(T_1)$
- $E(T_2) > 2E(T_1)$
- *proper* coupling

# Singlet Fission: definition



## Properties

- organic compounds
- bimolecular process
- spin allowed
- very fast  $\leq$  ps

## Requirements

- $E(S_1) \geq 2E(T_1)$
- $E(T_2) > 2E(T_1)$
- *proper* coupling

## Detecting SF

- triplet generation  $> 100\%$
- delayed fluorescence
- magnetic field effects

# Singlet Fission: chronology

---

- |             |   |
|-------------|---|
| <b>1965</b> | photophysics of anthracene crystals   |
| <b>1968</b> | low fluorescence in tetracene crystals  |
| <b>1980</b> | carotenoids   |
| <b>1989</b> | conjugated polymer  |
| <b>2004</b> | proposed for photovoltaic applications  |
| <b>2006</b> | theoretical guidelines <ul style="list-style-type: none"><li>•</li><li>• new SF materials &amp; development</li><li>•</li></ul> |
| <b>2013</b> | SF in solar cells   |

molecular crystals

more materials

theory & experiment  
energy conversion

# Purpose: theory of SF

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## Computational characterization

electronic structure methods



- States involved in SF
- Relative energies
- Mechanisms
- Rates of SF
- Key factors for SF
- Development of computational tools
- Propose/design new SF materials


# Electronic states with RAS-SF

## Restricted Active Space Spin-Flip

Chemist's view



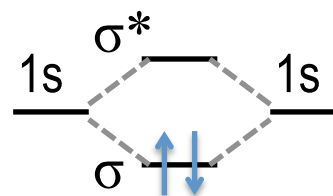
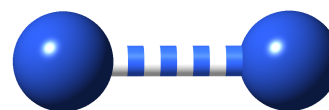
virtual  
orbitals

  $\alpha$  spin

  $\beta$  spin

occupied  
orbitals

H<sub>2</sub> molecule

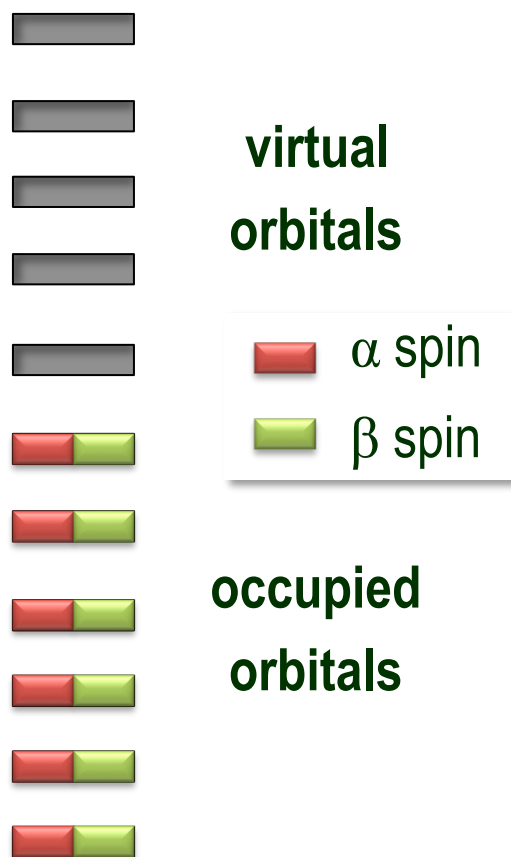




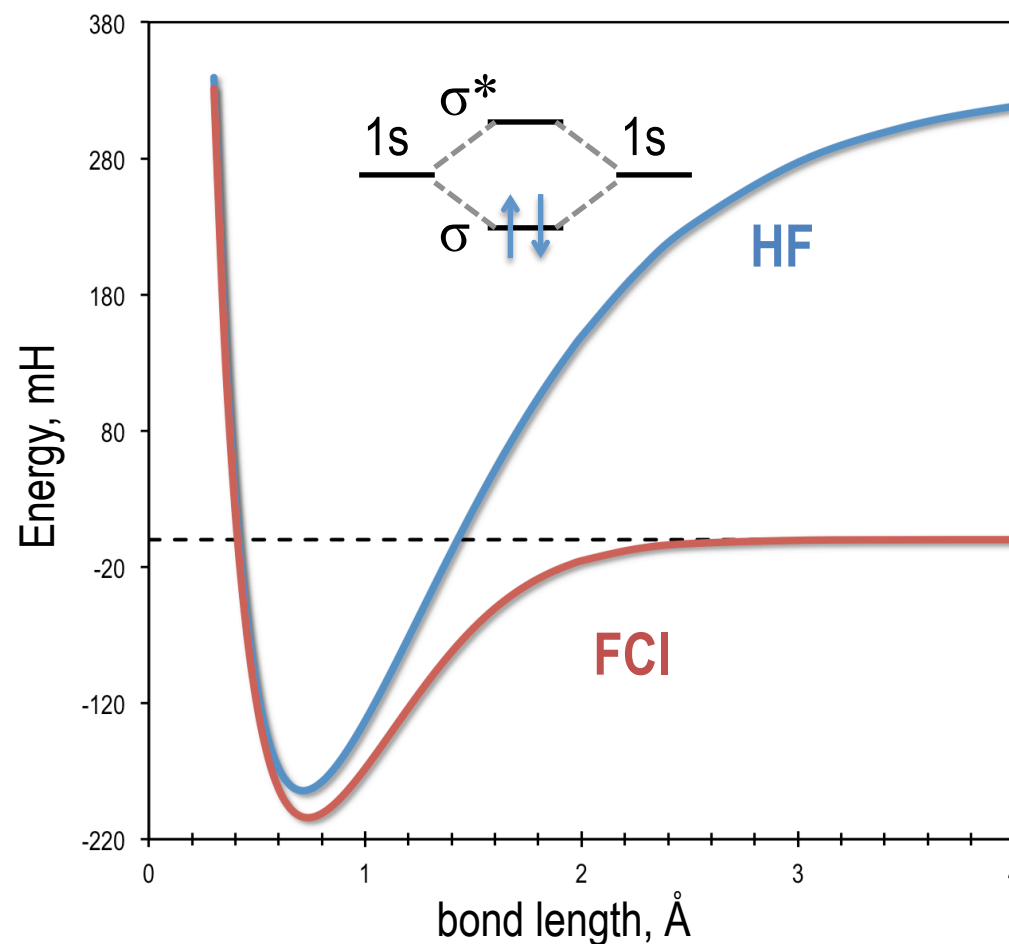
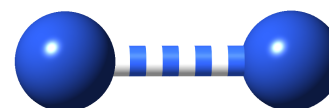
# Electronic states with RAS-SF

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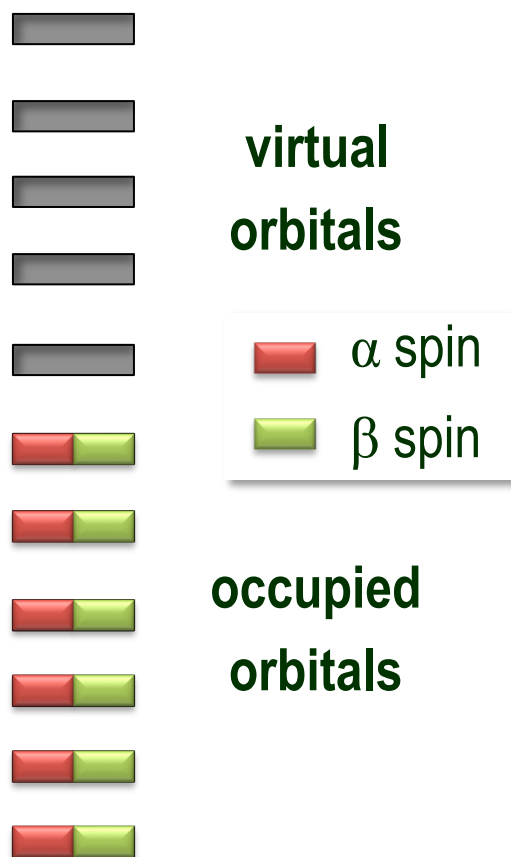
$H_2$  molecule



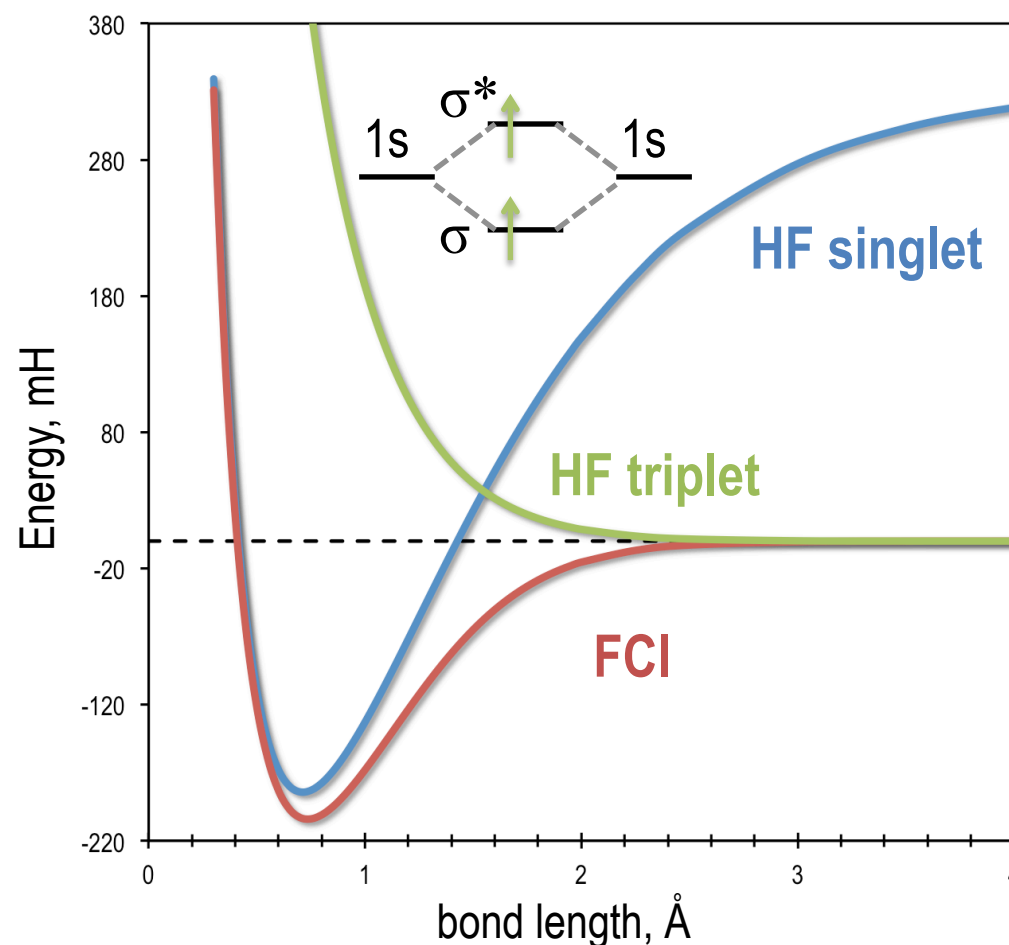
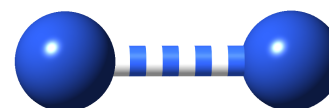
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Chemist's view



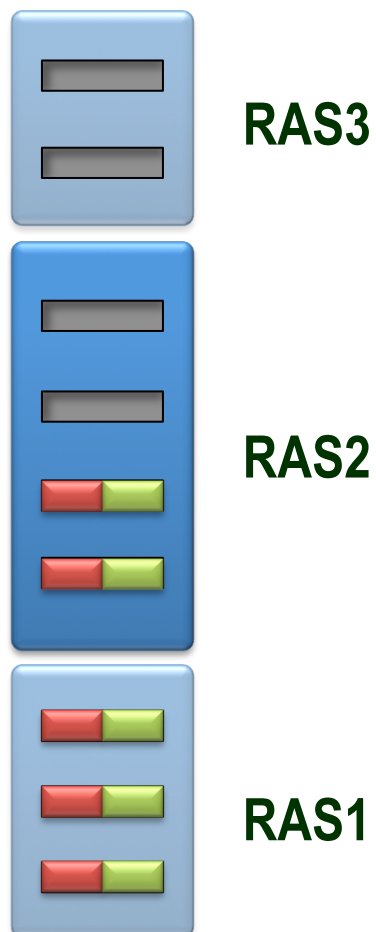
$H_2$  molecule



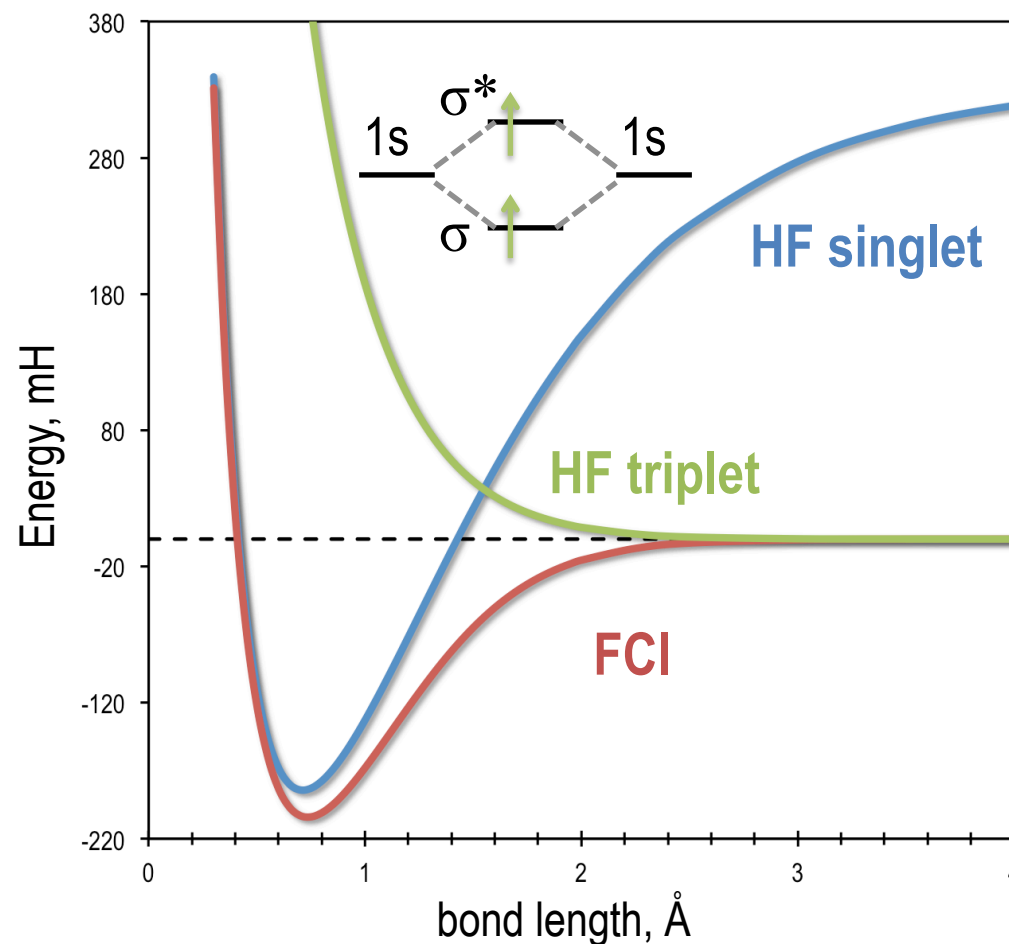
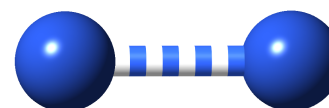
# Electronic states with RAS-SF

## Restricted Active Space Spin-Flip

Active Space



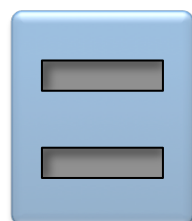
H<sub>2</sub> molecule



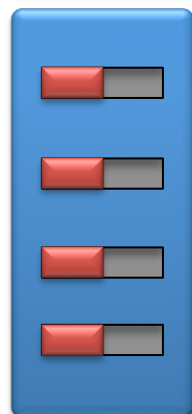
# Electronic states with RAS-SF

## Restricted Active Space Spin-Flip

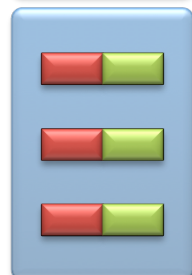
Active Space + High Spin



RAS3

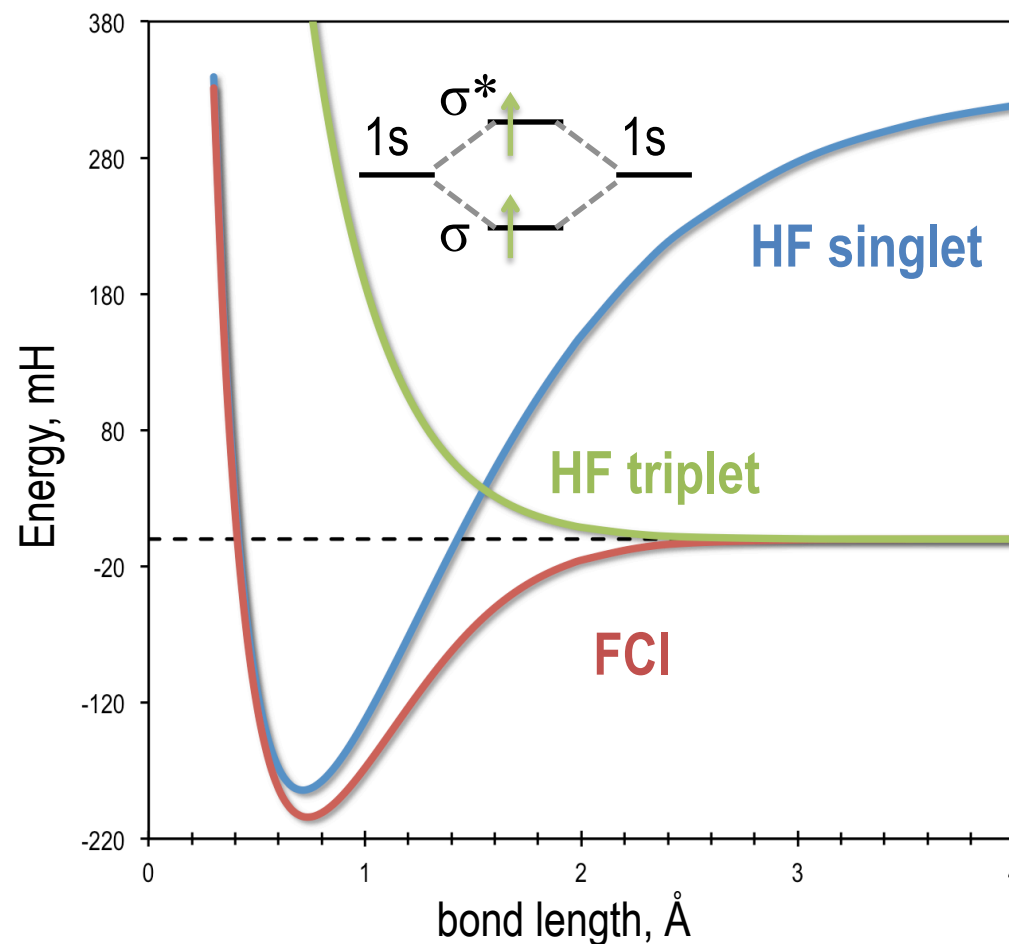
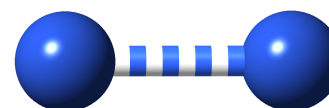


RAS2



RAS1

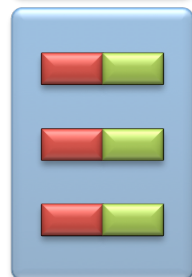
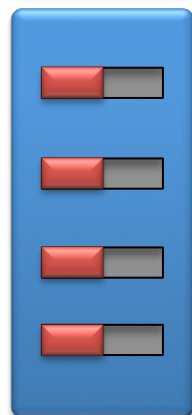
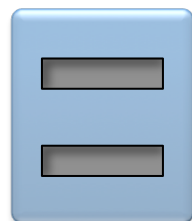
H<sub>2</sub> molecule



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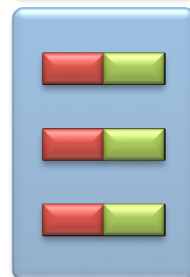
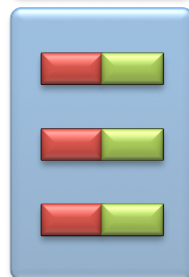
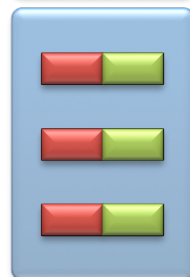
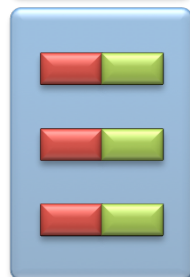
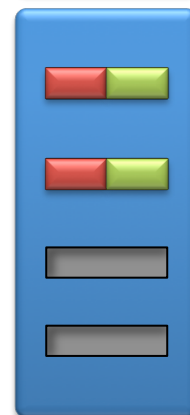
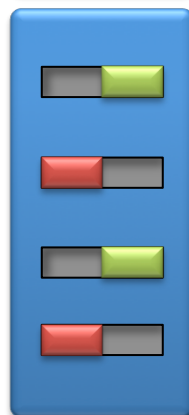
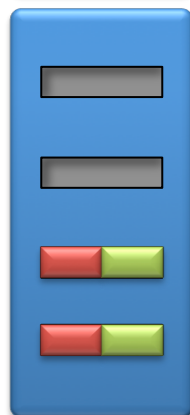
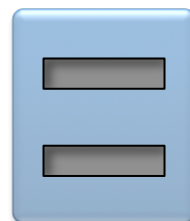
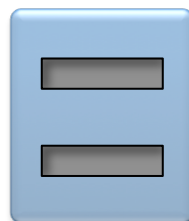
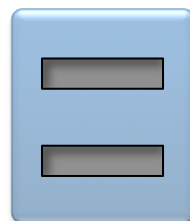
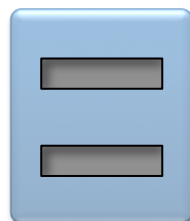
## Restricted Active Space Spin-Flip

Reference



spin-flip  
excitations

Reduced Full CI



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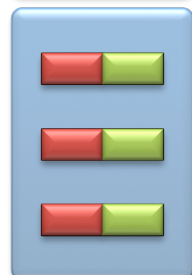
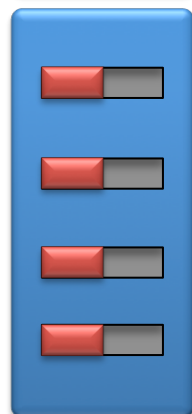
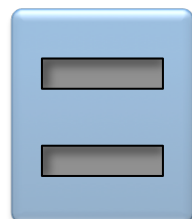
# Electronic states with RAS-SF

## Restricted Active Space Spin-Flip

Casanova, Head-Gordon PCCP 10 2009 324

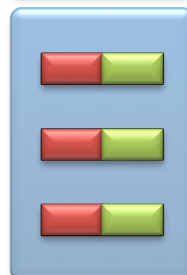
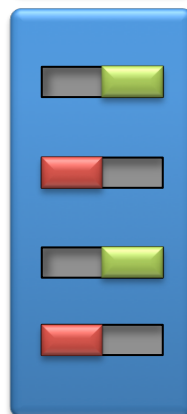
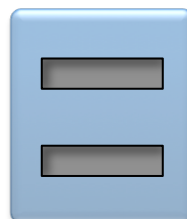
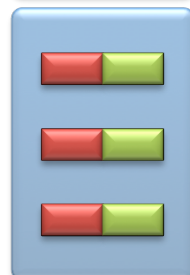
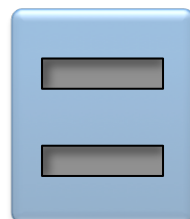
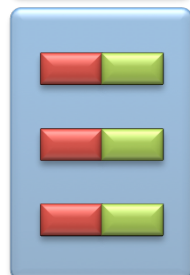
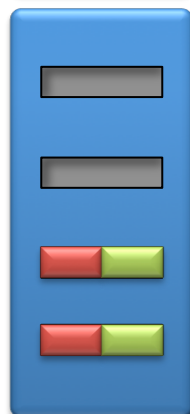
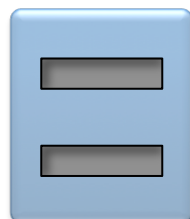
Casanova, JCP 137 2012 84105; JCC 34 2013 720

Reference



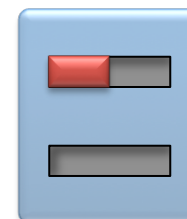
spin-flip  
excitations

Reduced Full CI

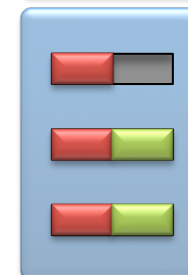
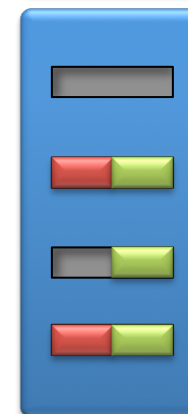


+

Particle



Hole






# RAS-SF algorithms

## Restricted Active Space Spin-Flip

Casanova, Head-Gordon PCCP 10 **2009** 324

Casanova, JCP 137 **2012** 84105; JCC 34 **2013** 720

$$|\text{RAS}\rangle = \sum_R C_R |R\rangle$$

configuration	class	occupation	dimensions
	active	1010   1001	$\begin{pmatrix} m \\ n \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}$
	hole	<b>h</b> 1110   1001	$2O \begin{pmatrix} m \\ n+1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}$
	part	<b>p</b> 1000   1001	$2V \begin{pmatrix} m \\ n-1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}$

$|R\rangle$

# RAS-SF algorithms




## Restricted Active Space Spin-Flip

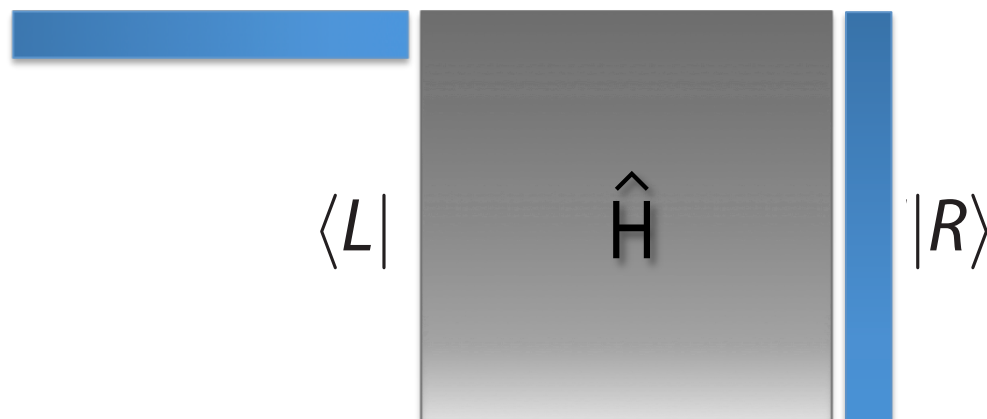
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Casanova, JCP 137 **2012** 84105; JCC 34 **2013** 720

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$$\sum_R \langle L | \hat{H} | R \rangle C_R = E C_L$$

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# RAS-SF algorithms




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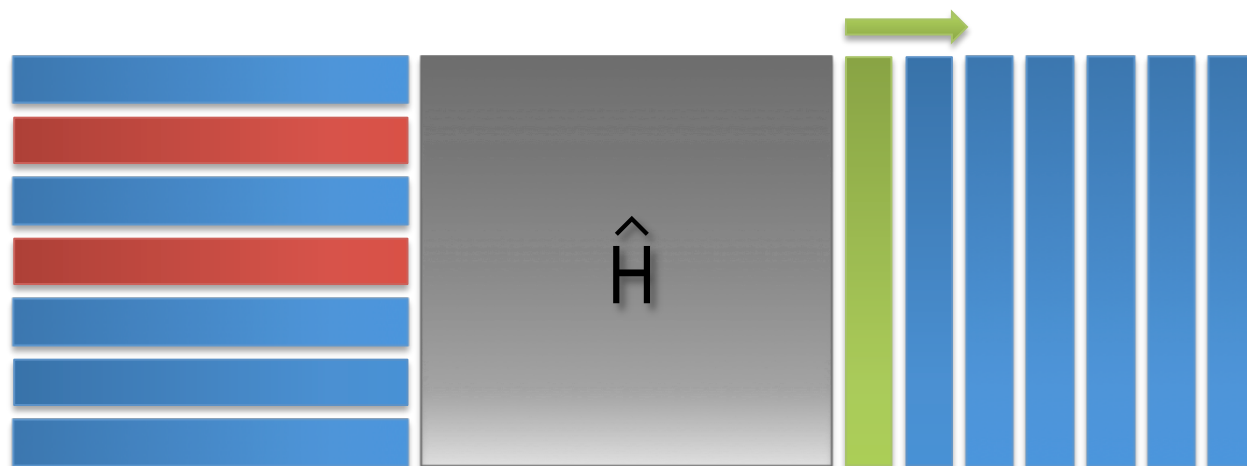
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Algorithm

**Configuration driven**

TDDFT, CIS

# RAS-SF algorithms

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Casanova, Head-Gordon PCCP 10 **2009** 324

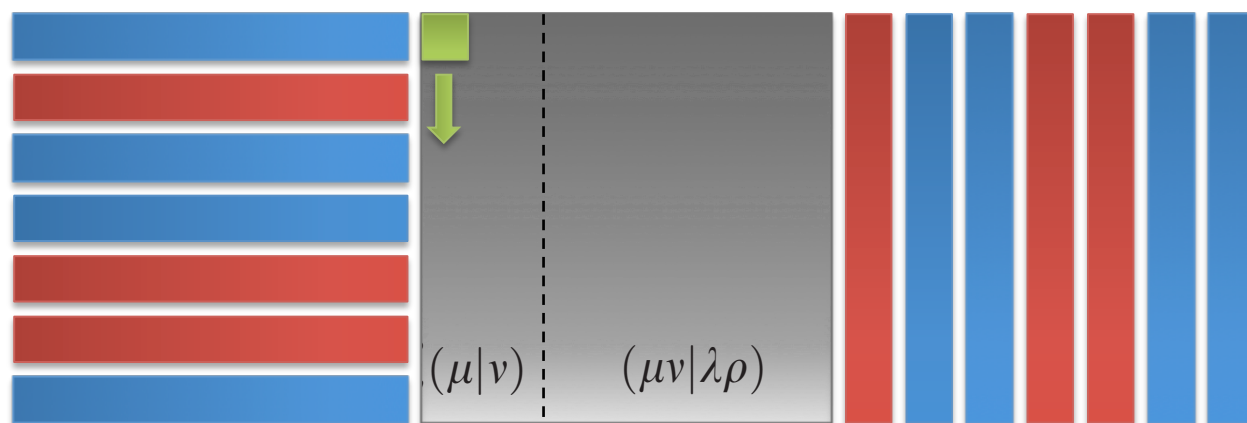
Casanova, JCP 137 **2012** 84105; JCC 34 **2013** 720

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$$\sum_R \langle L | \hat{H} | R \rangle C_R = E C_L$$

$$\langle L | \hat{H} | R \rangle = \sum_{\mu\nu} A_{\mu\nu}^{LR}(\mu|v) + \sum_{\mu\nu\lambda\rho} B_{\mu\nu\lambda\rho}^{LR}(\mu\nu|\lambda\rho)$$

configuration	class	occupation	dimensions
	active	1010   1001	$\begin{pmatrix} m \\ n \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}$
	hole	h 1110   1001	$2O \begin{pmatrix} m \\ n+1 \end{pmatrix} \begin{pmatrix} m \\ n \end{pmatrix}$
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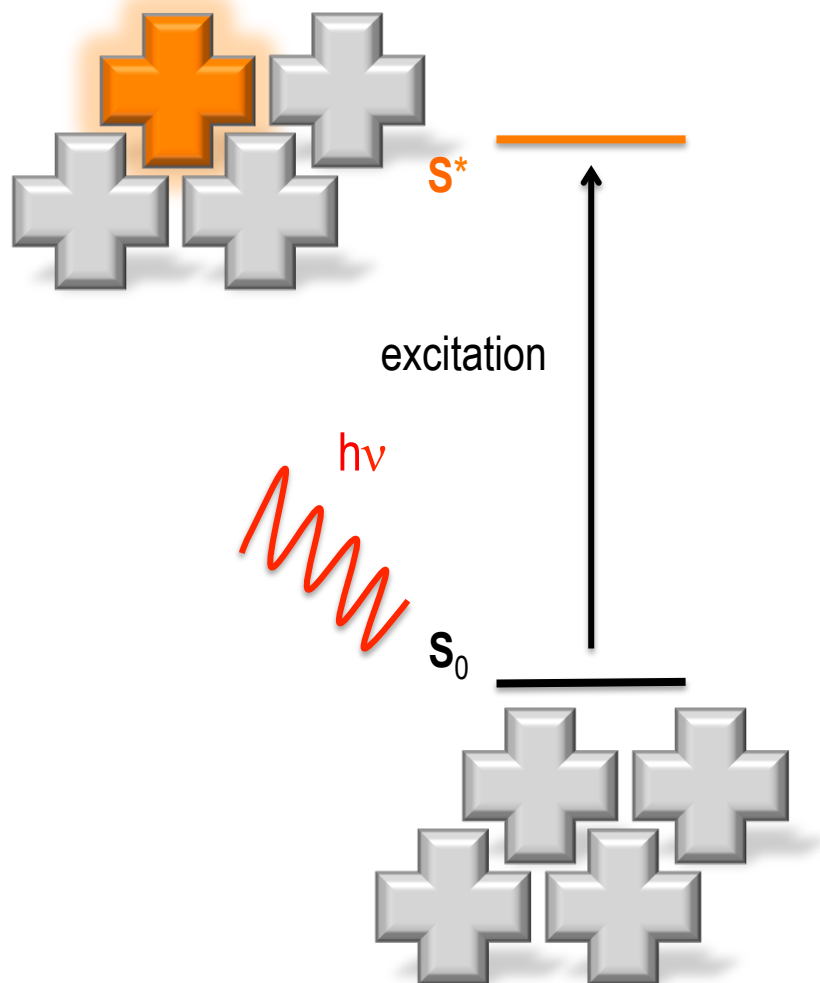


Algorithm

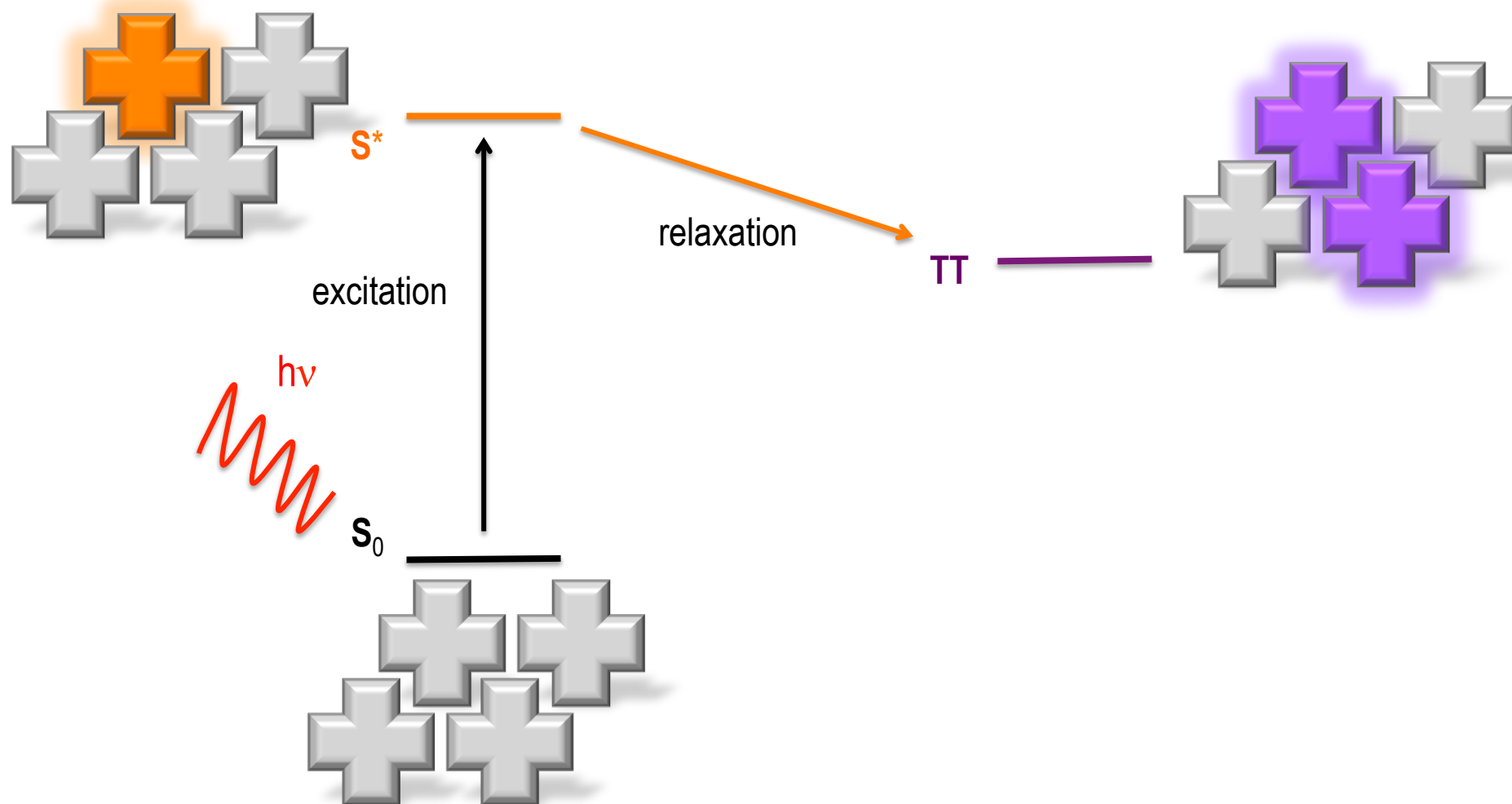
Integral driven

CAS, FCI

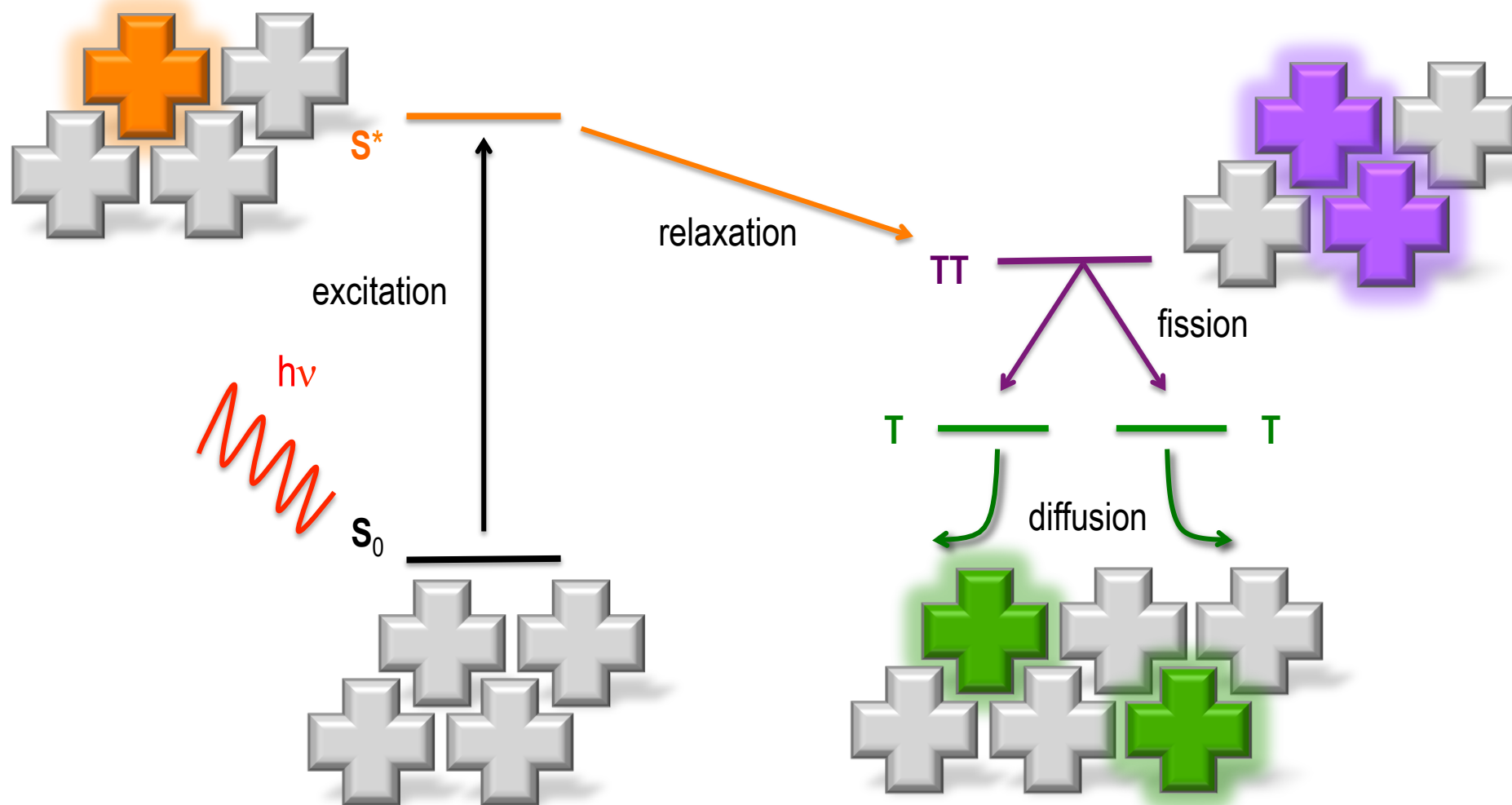
# Singlet Fission: mechanism



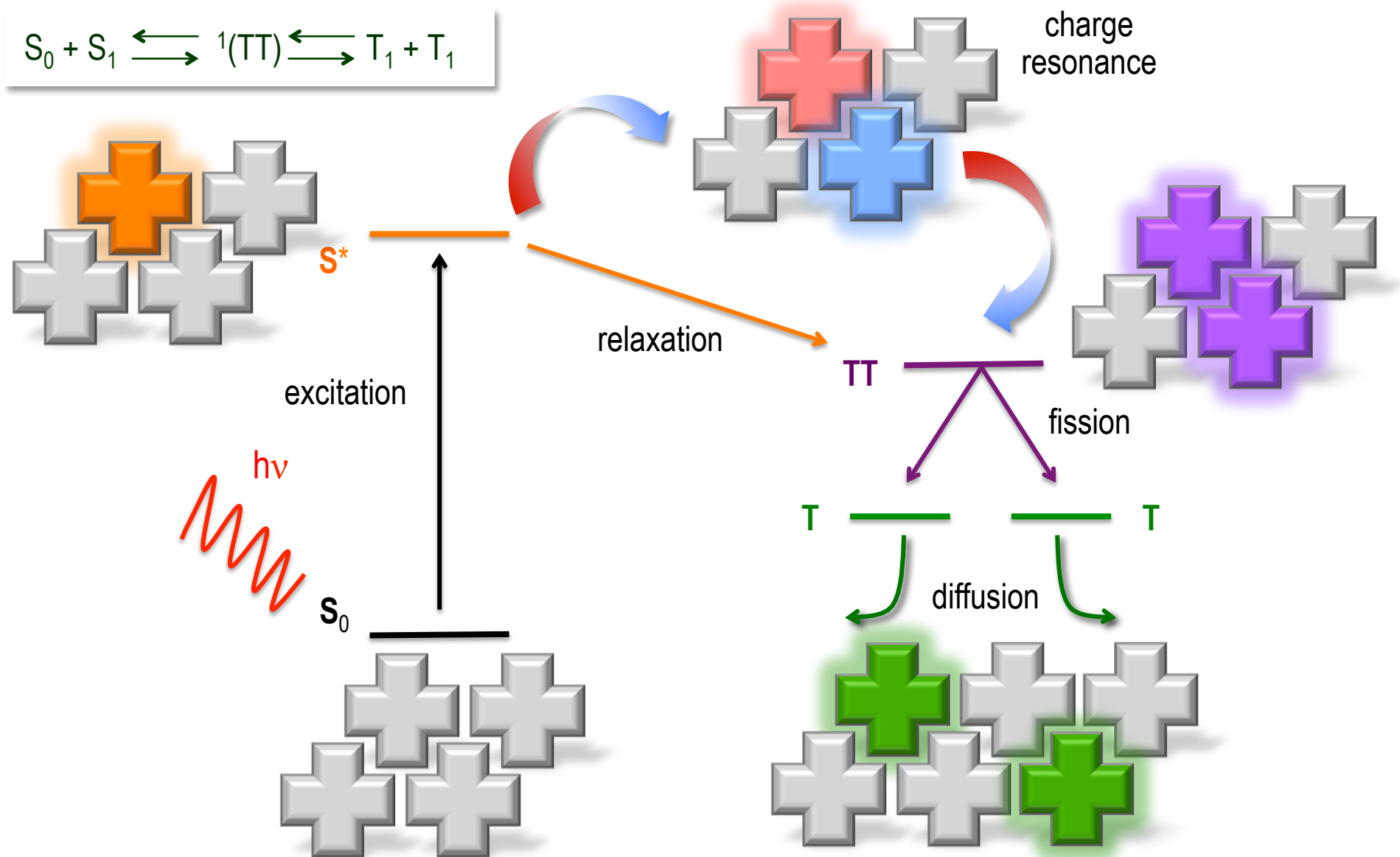
# Singlet Fission: mechanism



# Singlet Fission: mechanism



# Singlet Fission: mechanism



# Singlet Fission: electronic states

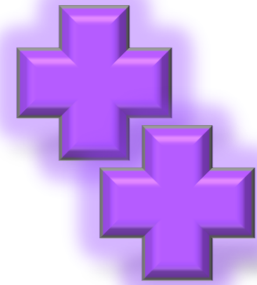
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SF precursor  $^1\text{TT}$

$$\hat{S}^2 |^1\text{TT}\rangle = s(s+1) |^1\text{TT}\rangle$$

$$^1\text{TT} = \begin{pmatrix} \uparrow & \downarrow \\ \uparrow & \downarrow \end{pmatrix} + \begin{pmatrix} \downarrow & \uparrow \\ \downarrow & \uparrow \end{pmatrix} + \frac{1}{2} \left[ \begin{pmatrix} \downarrow & \downarrow \\ \uparrow & \uparrow \end{pmatrix} + \begin{pmatrix} \uparrow & \uparrow \\ \downarrow & \downarrow \end{pmatrix} + \begin{pmatrix} \uparrow & \downarrow \\ \downarrow & \uparrow \end{pmatrix} + \begin{pmatrix} \downarrow & \uparrow \\ \uparrow & \downarrow \end{pmatrix} \right]$$

$T_1 T_{-1}$        $T_{-1} T_1$        $T_0 T_0$



# Singlet Fission: electronic states

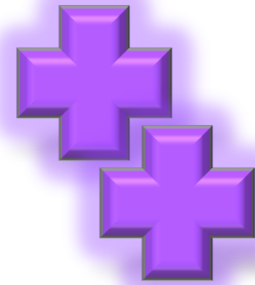
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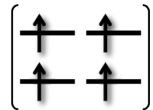
$$^1\text{TT} = \begin{pmatrix} \uparrow & \downarrow \\ \uparrow & \downarrow \end{pmatrix} + \begin{pmatrix} \downarrow & \uparrow \\ \downarrow & \uparrow \end{pmatrix} + \frac{1}{2} \left[ \begin{pmatrix} \downarrow & \downarrow \\ \uparrow & \uparrow \end{pmatrix} + \begin{pmatrix} \uparrow & \uparrow \\ \downarrow & \downarrow \end{pmatrix} + \begin{pmatrix} \uparrow & \downarrow \\ \downarrow & \uparrow \end{pmatrix} + \begin{pmatrix} \downarrow & \uparrow \\ \uparrow & \downarrow \end{pmatrix} \right]$$

$T_1 T_{-1}$        $T_{-1} T_1$        $T_0 T_0$



Reference

$^5\text{TT}$







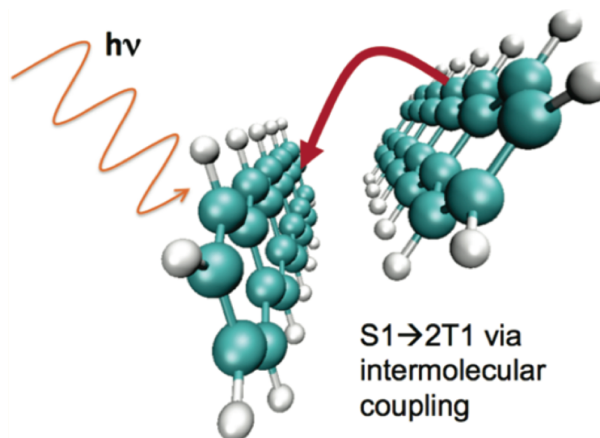
# Singlet Fission: molecular vibration

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Intermolecular distortion

Phonon like

Chromophore coupling



tetracene, pentacene

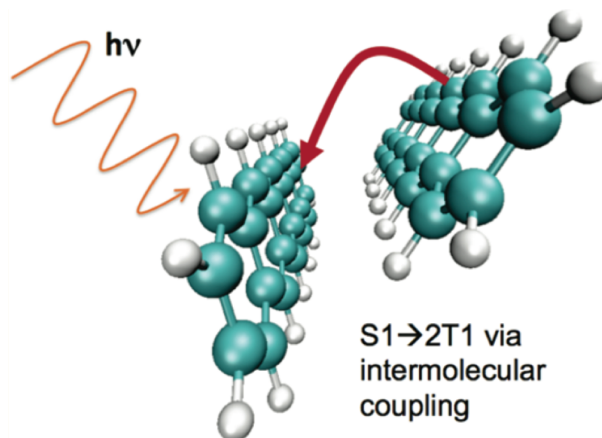
JACS, 133 **2011** 19944

# Singlet Fission: molecular vibration

Intermolecular distortion

Phonon like

Chromophore coupling



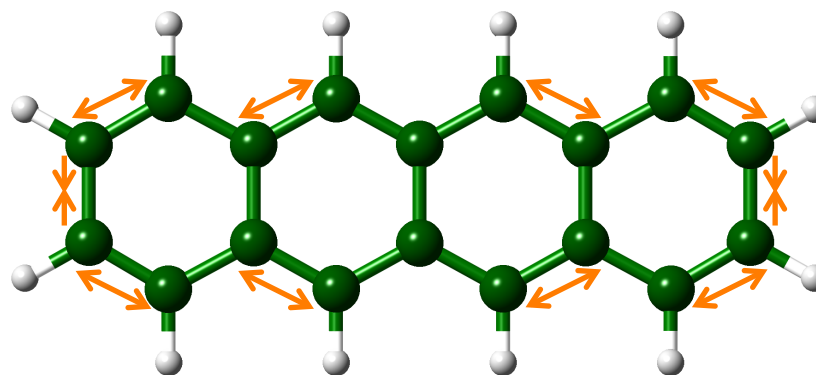
tetracene, pentacene

JACS, 133 2011 19944

Intramolecular distortion

$S_1$  optimization

Energy levels



$a_g$  "breathing" mode

tetracene, DPT, rubrene

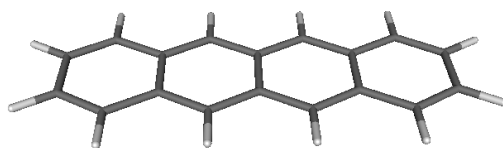
JCTC 10 2014 324

# Singlet Fission: molecular vibration

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Intramolecular distortion

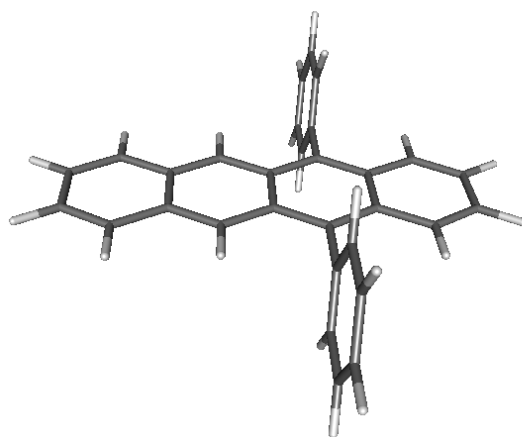
Tetracene



“SF thermally activated”

Jundt et al., CPL (1995)

DPT



“large thermodynamic driving force for SF”

Roberts et al., JACS (2012)

# Singlet Fission: molecular vibration

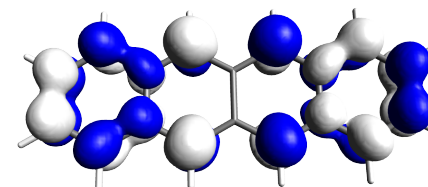
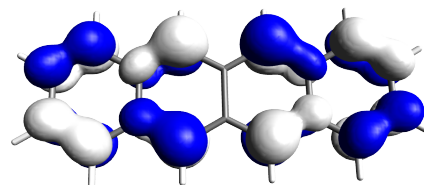
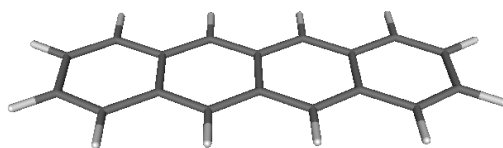
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Intramolecular distortion

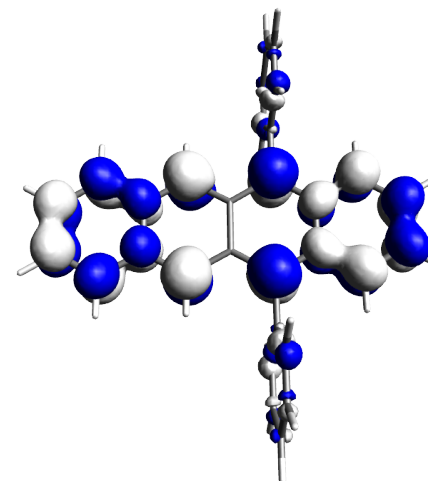
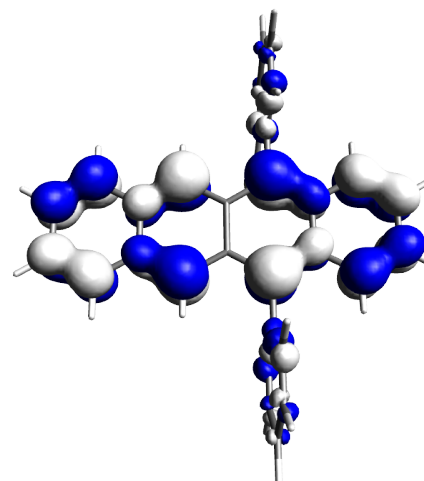
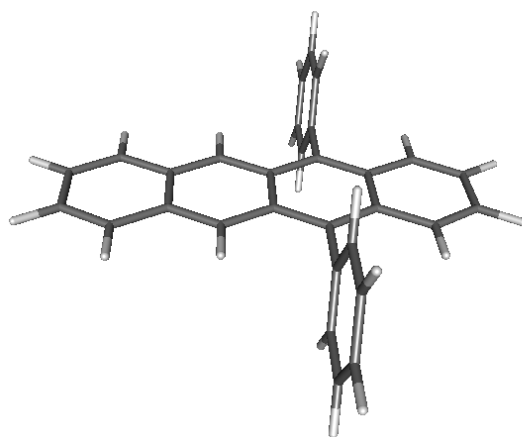
HOMO

LUMO

Tetracene



DPT

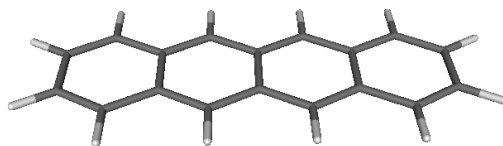


# Singlet Fission: molecular vibration

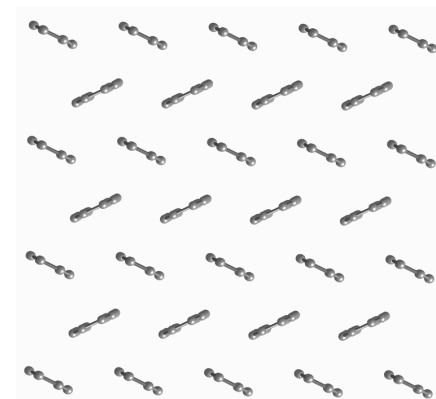
Intramolecular distortion

Crystal structure

Tetracene

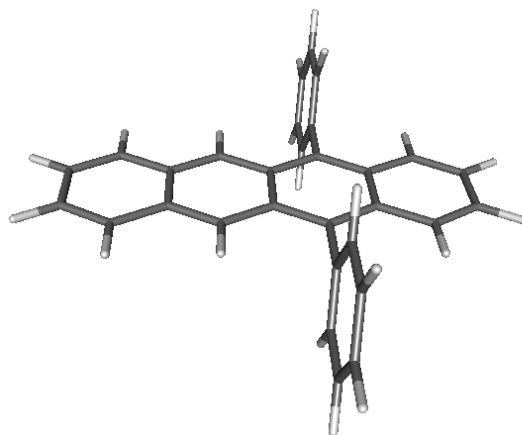


herringbone  
lattice

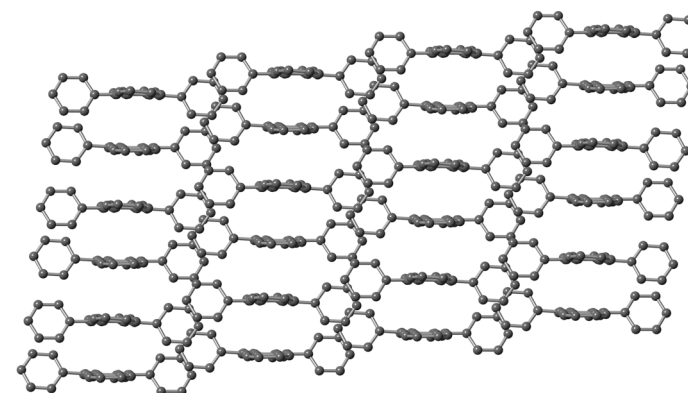


Holmes et al., Chem. Eur. J. (1999)

DPT



slip-stack  
structure

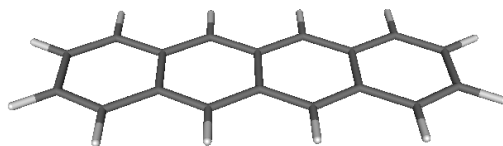


Roberts et al., JACS (2012)

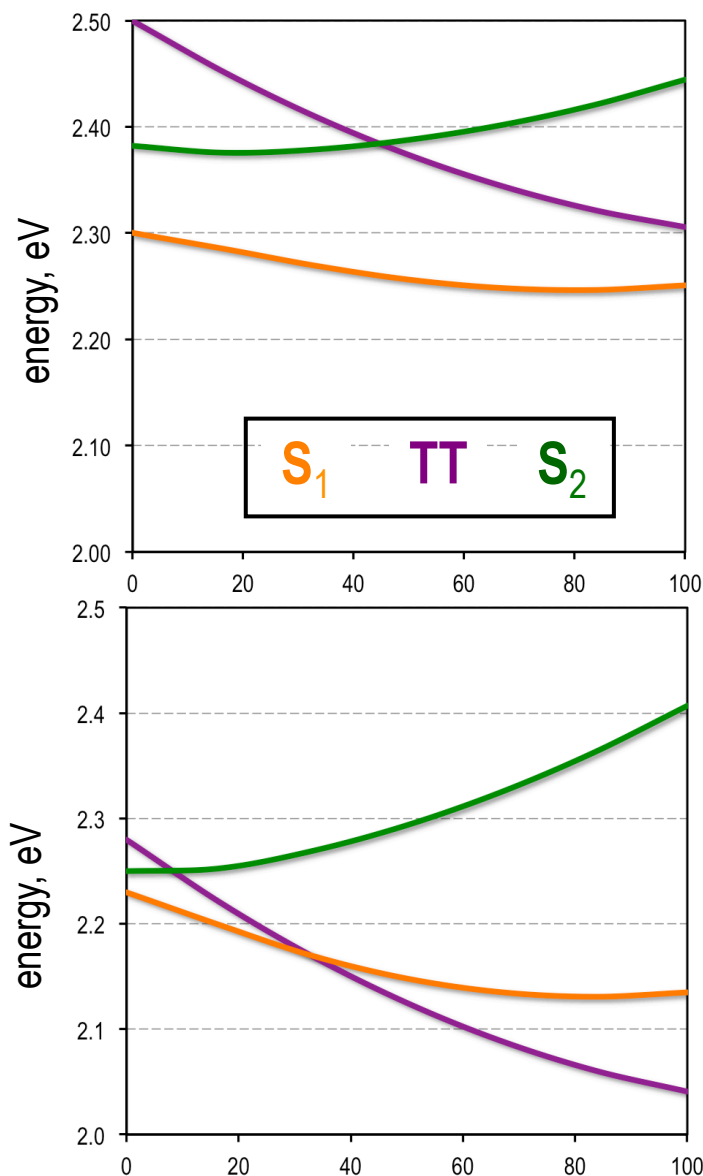
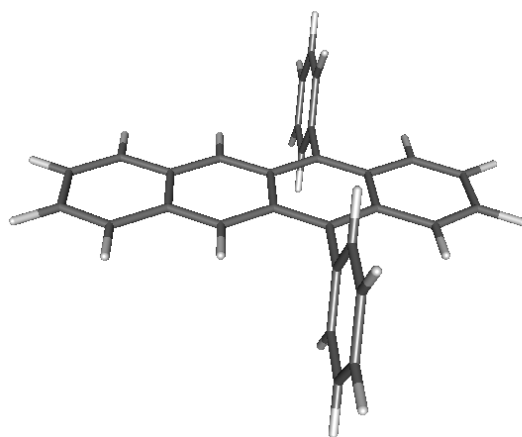
# Singlet Fission: molecular vibration

Intramolecular distortion

Tetracene



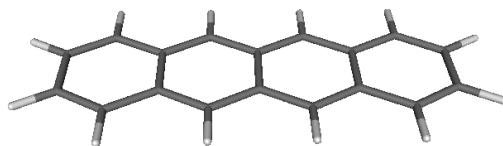
DPT



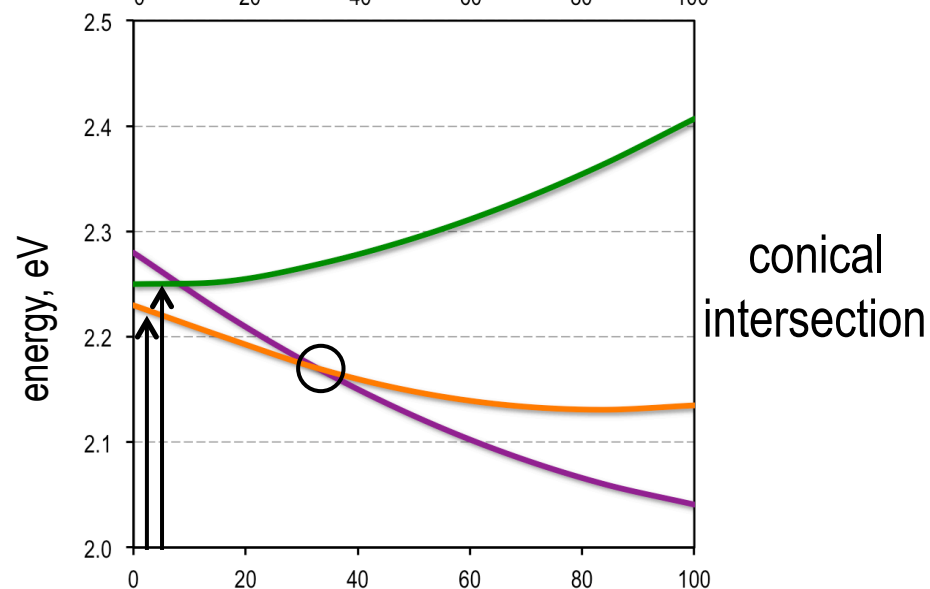
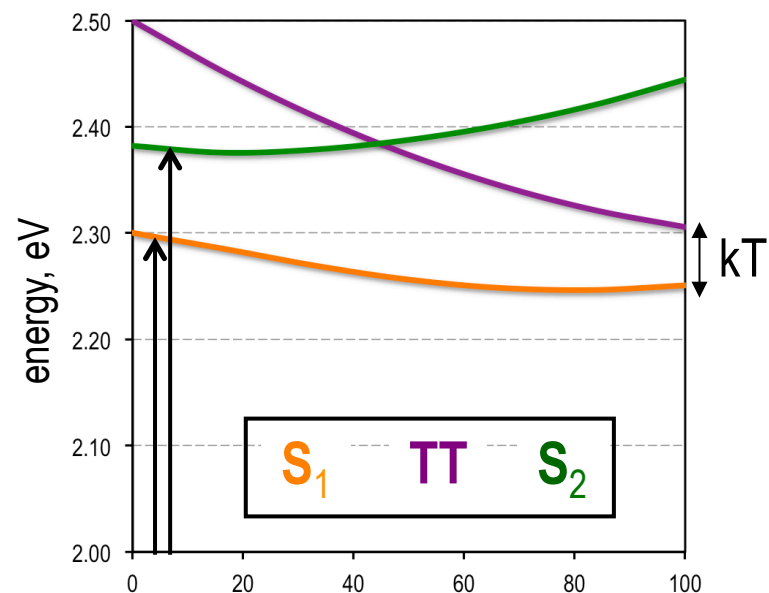
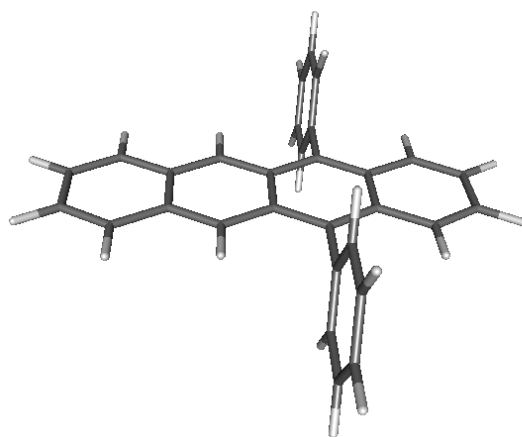
# Singlet Fission: molecular vibration

Intramolecular distortion

Tetracene



DPT





# Singlet Fission: chromophore coupling

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SF transition rate



$$\omega(\text{SF}) = \frac{2\pi}{\hbar} \left| \langle TT | \hat{H} | S_0S_1 \rangle \right|^2 \rho[E]$$

Fermi golden rule

# Singlet Fission: chromophore coupling

SF transition rate

$$\omega(\text{SF}) = \frac{2\pi}{\hbar} \left| \langle TT | \hat{H} | S_0 S_1 \rangle \right|^2 \rho[E]$$

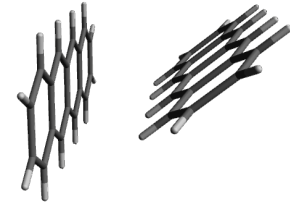
small

-2.2 meV



Fermi golden rule

Tetracene  
dimer

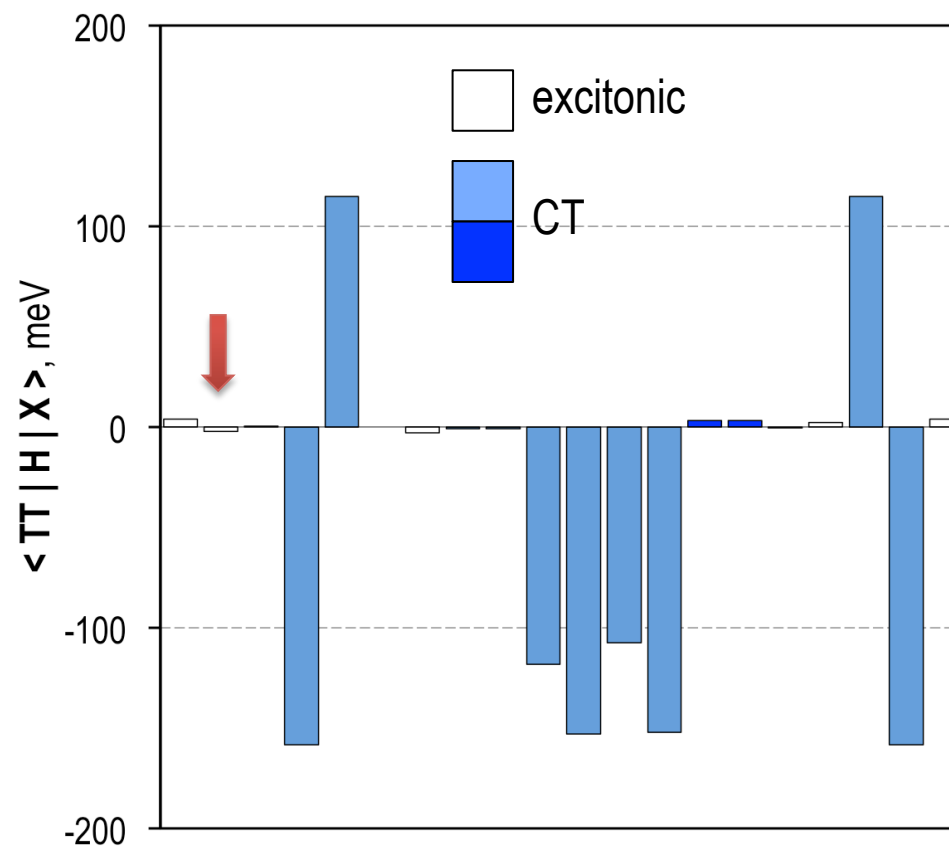


\_\_\_\_\_

$$S_0 S_1 \longrightarrow TT$$

## Fermi golden rule

- Direct coupling very weak
- Largest couplings to CT states

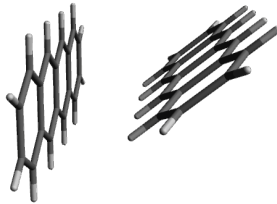


\_\_\_\_\_

## SF transition rate



# Tetracene



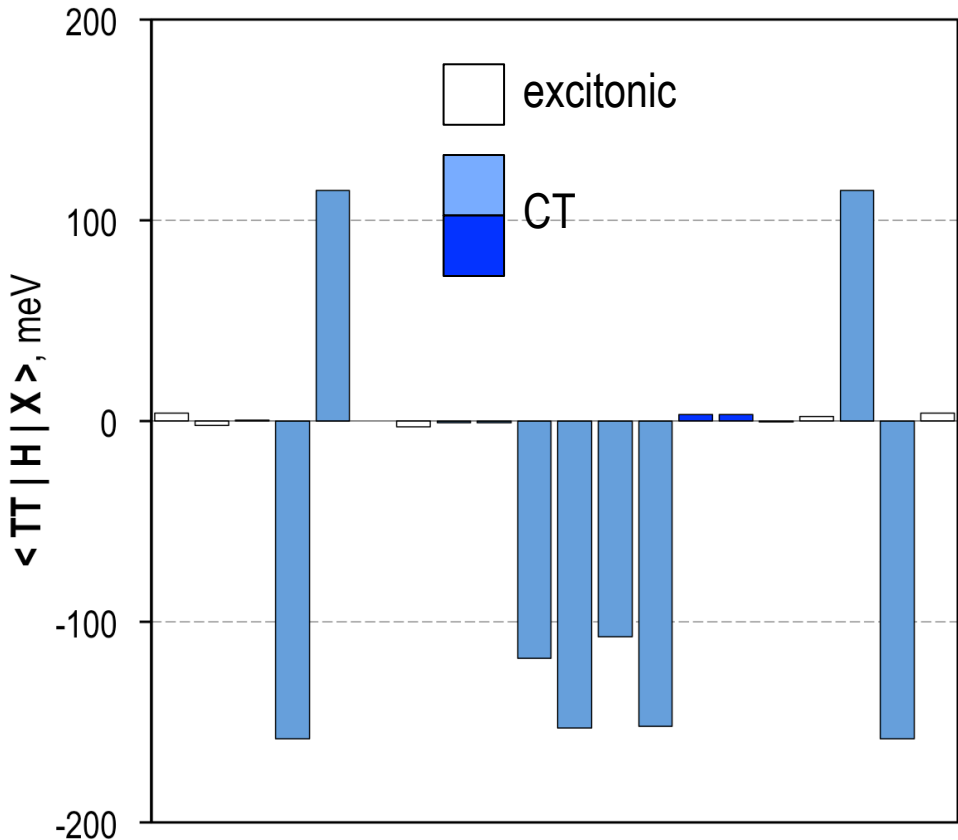
$$\omega(\text{SF}) = \frac{2\pi}{\hbar} \left| \langle TT | \hat{H} | S_0 S_1 \rangle - \sum_X \frac{\langle TT | \hat{H} | X \rangle \langle X | \hat{H} | S_0 S_1 \rangle}{\Delta E_X} \right|^2 \rho[E]$$

## 1<sup>st</sup> order

direct  
coupling

## 2<sup>nd</sup> order

mediated  
coupling



## Findings

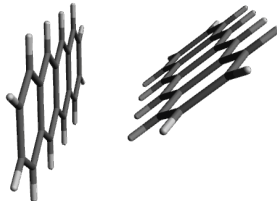
- Direct coupling very weak
- Largest couplings to CT states

\_\_\_\_\_

## SF transition rate



Tetracene  
dimer



$$\omega(\text{SF}) = \frac{2\pi}{\hbar} \left| \langle TT | \hat{H} | S_0 S_1 \rangle - \sum_X \frac{\langle TT | \hat{H} | X \rangle \langle X | \hat{H} | S_0 S_1 \rangle}{\Delta E_X} \right|^2 \rho[E]$$

## 1<sup>st</sup> order

## 2<sup>nd</sup> order

direct  
coupling

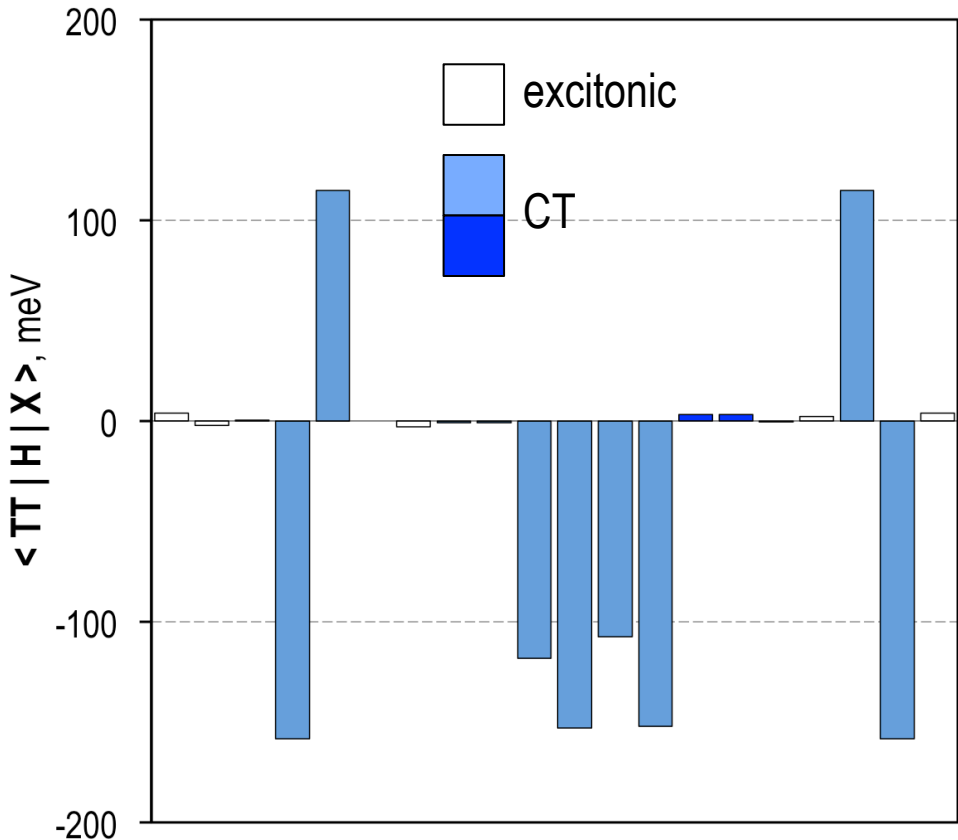
mediated  
coupling

-2.2 meV

-52.1 meV

## Findings

- Direct coupling very weak
- Largest couplings to CT states
- SF mediated by CT states



# Singlet Fission: in one molecule

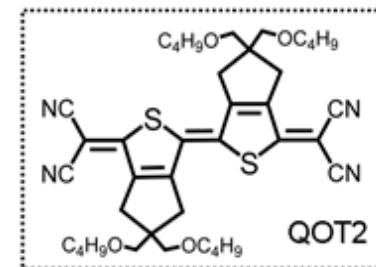
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1 molecule  
2 chromophores

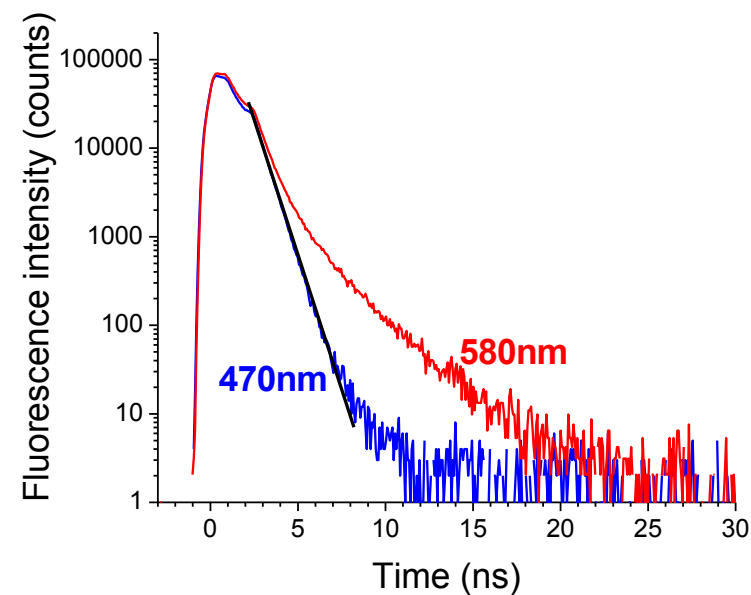


# Singlet Fission: in one molecule

1 molecule  
2 chromophores

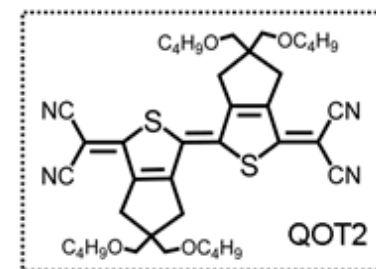


quinothal bithiophene



# Singlet Fission: in one molecule

1 molecule  
2 chromophores

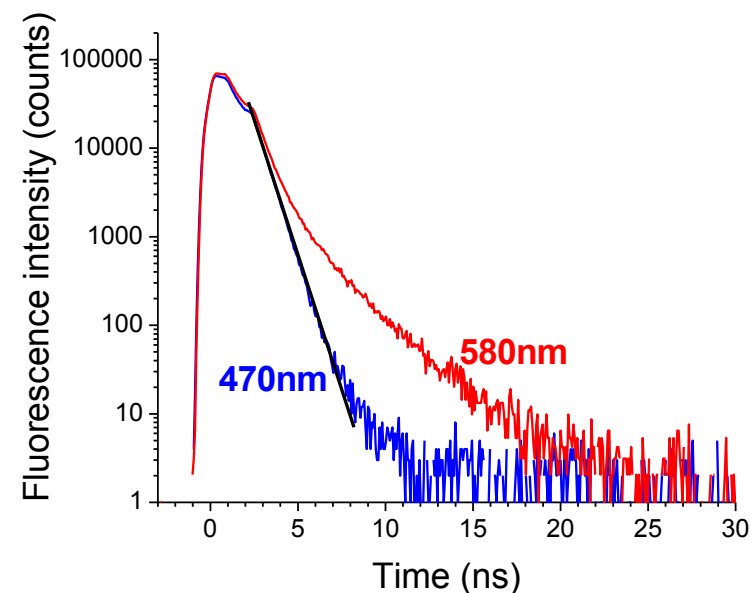


quinothalene bithiophene

Fission  $^1\text{ME} \longrightarrow T_1 + T_1$

- Energy gap

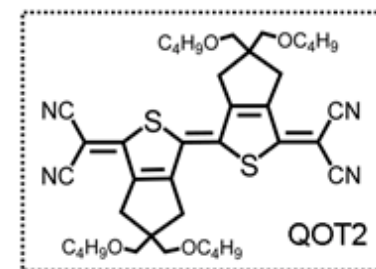
$$\Delta E_F = E[^5\text{ME}] - E[^1\text{ME}] \rightarrow 0$$





# Singlet Fission: in one molecule

1 molecule  
2 chromophores



quinothalene bithiophene

Fission  $^1\text{ME} \longrightarrow T_1 + T_1$

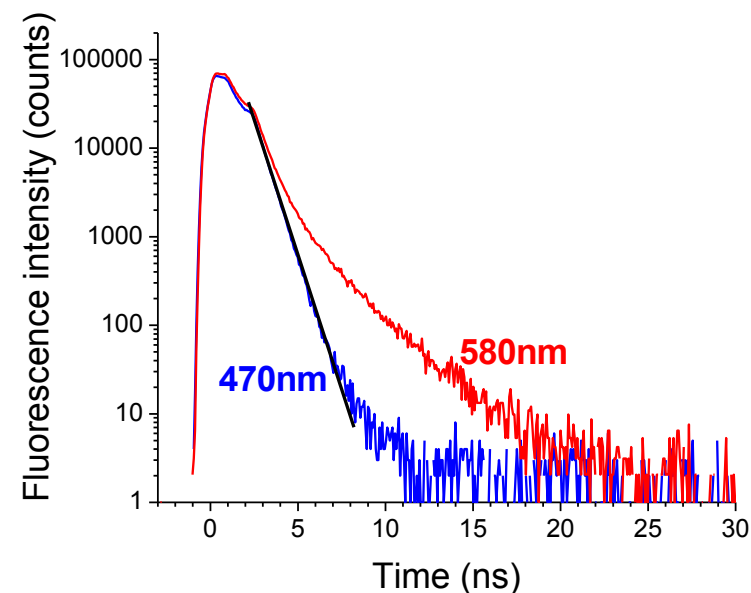
- Energy gap

$$\Delta E_F = E[^5\text{ME}] - E[^1\text{ME}] \rightarrow 0$$

- %  $^1\text{TT}$

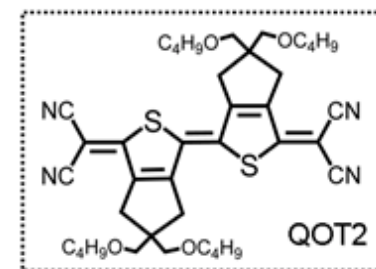
$$\frac{[^1\text{TT}]}{[^1\text{ME}]} \rightarrow 100\%$$

Contribution of  $^1\text{TT}$  in the overall  $^1\text{ME}$  wavefunction



# Singlet Fission: in one molecule

1 molecule  
2 chromophores



quinothalene bithiophene

Fission  $^1\text{ME} \longrightarrow T_1 + T_1$

- Energy gap

$$\Delta E_F = E[^5\text{ME}] - E[^1\text{ME}] \rightarrow 0$$

- %  $^1\text{TT}$

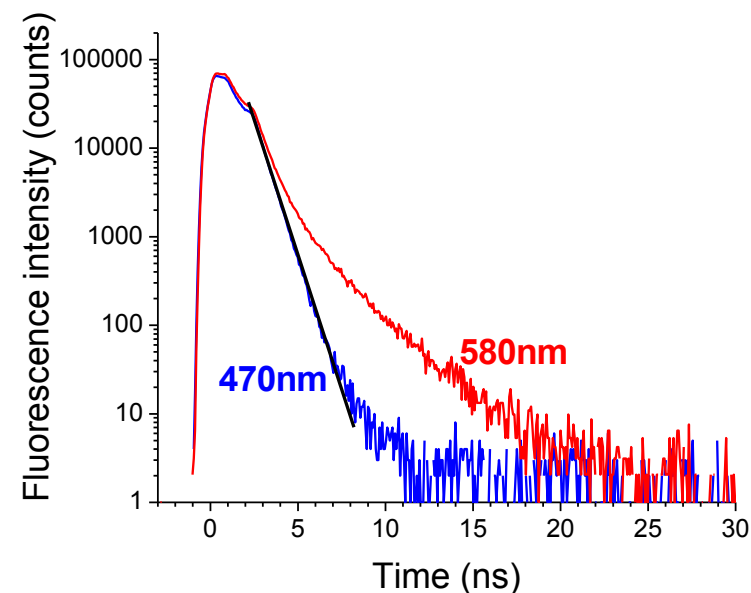
$$\frac{[^1\text{TT}]}{[^1\text{ME}]} \rightarrow 100\%$$

Contribution of  $^1\text{TT}$  in the overall  $^1\text{ME}$  wavefunction

- Radical character

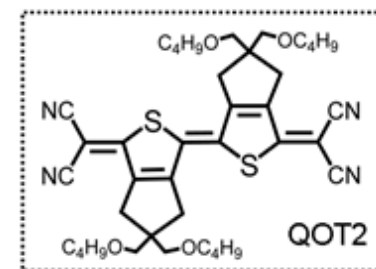
$$N_U = \sum_i 1 - |1 - n_i| \quad N_U \rightarrow 4$$

Number of unpaired electrons of  $^1\text{ME}$



# Singlet Fission: in one molecule

1 molecule  
2 chromophores



quinoidal bithiophene

Fission  $^1\text{ME} \longrightarrow T_1 + T_1$

- Energy gap

$$\Delta E_F = E[^5\text{ME}] - E[^1\text{ME}] \rightarrow 0$$

- %  $^1\text{TT}$

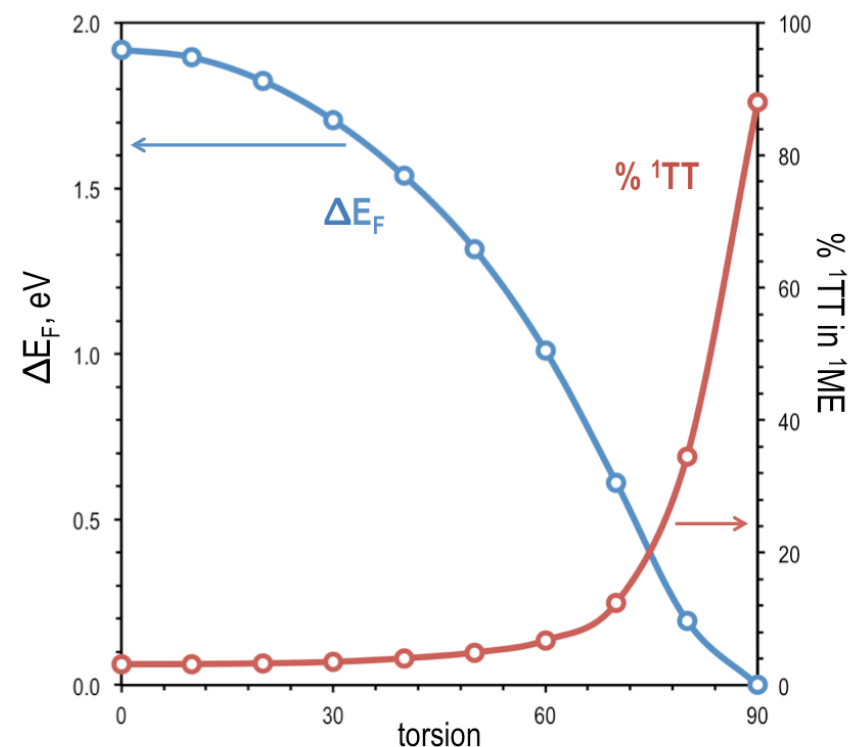
$$\frac{[^1\text{TT}]}{[^1\text{ME}]} \rightarrow 100\%$$

Contribution of  $^1\text{TT}$  in the overall  $^1\text{ME}$  wavefunction

- Radical character

$$N_U = \sum_i 1 - |1 - n_i| \quad N_U \rightarrow 4$$

Number of unpaired electrons of  $^1\text{ME}$



# Eskerrik asko

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[www.q-chem.com](http://www.q-chem.com)



coming...



## Collaborations

- Theodore Goodson (U. Michigan)
  - Juan Casado (U. Malaga)
- QOT2

## Funding



IT588-13



Research Fellowship



SAIOTEK S-PC13UN002